



*California Financing
Coordinating Committee*
2014 Funding Fairs



United States
Department of
Agriculture

**INFRASTRUCTURE FINANCING
FOR THE 21ST CENTURY**





United States
Department of
Agriculture

California Financing Coordinating Committee (CFCC)

What is CFCC?

The California Financing Coordinating Committee (CFCC) was formed in 1998 and is made up of six funding members: four state, two federal. CFCC members facilitate and expedite the completion of various types of infrastructure projects helping customers combine the resources of different agencies. Project information is shared between members so additional resources can be identified. CFCC members conduct free funding fairs statewide each year to educate the public and potential customers about the different member agencies and the financial and technical resources available.

Purpose of CFCC Funding Fairs

CFCC Funding Fairs provide opportunities to obtain information about currently available infrastructure grant, loan and bond financing programs and options. Each attendee receives a copy of all slide presentations and additional useful infrastructure financing material. Funding Fairs also provide an opportunity for attendees to speak directly with program staff about specific projects and issues affecting their community.

Who should attend?

Representatives from public works, local governments, and California Native American Tribes. This includes city managers and planners, economic development and engineering professionals, officials from privately owned facilities, water and irrigation district managers, financial advisors and project consultants.

Eligible Project Types

CFCC agencies fund the following types of eligible projects such as drinking water, waste water, solid waste, water quality, water supply, water conservation, energy efficiency, flood management, community facilities, streets and highways, and emergency response vehicles.

CFCC Information

Please log on to the CFCC website at www.cfcc.ca.gov for the 2014 Funding Fair schedule, CFCC Member Directory and general information.



United States
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Agriculture

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2014 CFCC MEMBER DIRECTORY

www.cfcc.ca.gov

DEPARTMENT OF WATER RESOURCES (DWR)

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901 P Street - Bonderson Building; Sac, CA 95814
Phone: (916) 651-9251 / Fax: (916) 651-9607
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Chief, Financial Assistance Branch
Phone: (916) 651-9226 / Fax: (916) 651-9290
Tracie.Billington@water.ca.gov

Mr. Michael Sabbaghian

Division of Flood Management
Chief, Local Assistance Programs Branch
Phone: (916) 574-1404
Mahyar.Sabbaghian@water.ca.gov

Ms. Chris McCready

Division of Integrated Regional Water Management
Chief, Regional Planning Branch
Phone: (916) 651-9298
christina.mccready@water.ca.gov



Ms. Leslie Pierce

Division of Statewide Integrated Water Management
Project Services Office
Phone: (916) 651-9251
Leslie.Pierce@water.ca.gov

DEPARTMENT OF HOUSING AND COMMUNITY DEVELOPMENT (HCD)

Community Development Block Grant Program
2020 West El Camino Avenue, Suite 500
Sacramento, CA 95833
Phone: (855) 333-CDBG (2324)
Web Site: <http://www.hcd.ca.gov/fa/cdbg/index.html>

Mr. Thomas Brandeberry

Section Chief
Phone: (916) 263-1328
thomas.brandeberry@hcd.ca.gov

Ms. Karen Patterson

Program Manager
CDBG – Community Development,
Native American, and DRI and NSP
Phone: (916) 263-1466
karen.patterson@hcd.ca.gov

Ms. Leticia Johnson

Program Manager
CDBG - Economic Development
ED and Colonia Allocation
Phone: (916) 263-2186
leticia.johnson@hcd.ca.gov



CALIFORNIA INFRASTRUCTURE AND ECONOMIC DEVELOPMENT BANK (IBank)

Infrastructure State Revolving Fund (ISRF) Program
P.O. Box 2830; Sacramento, CA 95812-2830
980 9th Street, Suite 900; Sacramento, CA 95814
Phone: (916) 322-1399 / Fax: (916) 322-6314
Web Site: www.ibank.ca.gov
Email: ibank@ibank.ca.gov

Mr. Ruben Rojas

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Ms. Diane Cummings

ISRF Manager
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Jul-14

2014 CFCC MEMBER DIRECTORY - CONTINUED

www.cfcc.ca.gov

STATE WATER RESOURCES CONTROL BOARD (SWRCB)

CLEAN WATER FUNDING

Division of Financial Assistance
 1001 I Street - 16th Floor; Sacramento, CA 95814
 Phone: (916) 327-9978 / Fax: (916) 341-5707
 Web Site:
www.waterboards.ca.gov/water_issues/programs/grants_loans/srf/
 Email: CleanWaterSRF@waterboards.ca.gov

Mr. Christopher Stevens, Chief
 Clean Water State Revolving Fund
 and Special Programs Section
 Phone: (916) 341-5698
cstevens@waterboards.ca.gov

Mr. Robert Pontureri
 Wastewater Projects
 Clean Water State Revolving Fund
 Phone: (916) 341-5828
rpontureri@waterboards.ca.gov

Mr. Dan Newton
 Water Recycling Funding Program
 Phone: (916) 324-8404
dnewton@waterboards.ca.gov

Ms. Meghan Tosney
 Small Community Wastewater
 Phone: (916) 341-5729
mtosney@waterboards.ca.gov

Ms. Conny Mitterhofer
 Cleanup and Abatement Account
 Phone: (916) 341-5720
cmitterhoffer@waterboards.ca.gov



SWRCB DRINKING WATER FUNDING (PREVIOUSLY ADMINISTERED BY CALIFORNIA DEPARTMENT OF PUBLIC HEALTH)

Drinking Water Technical Programs Branch
 1616 Capitol Avenue (MS 7418)
 P.O. Box 997377
 Sacramento, CA 95899-7377
 Phone: (916) 449-5600 / Fax: (916) 449-5656
 Web Site:
www.cdph.ca.gov/certlic/drinkingwater/pages/DWPFunding.aspx

Mr. Lance Reese
 Loans and Grants Administration
 (916) 449-5600
Lance.Reese@waterboards.ca.gov

Mr. Dat Tran
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 (916) 449-5600
Dat.Tran@waterboards.ca.gov

Mr. Josh Ziese
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 (916) 449-5600
Josh.Ziese@waterboards.ca.gov

Mr. George Faggella
 Capacity Development
 (916) 449-5600
George.Faggella@waterboards.ca.gov



U.S. DEPARTMENT OF THE INTERIOR

BUREAU OF RECLAMATION (USBR)

Mid-Pacific Regional Office
 Water Conservation Program
 2800 Cottage Way, MP-410
 Sacramento, CA 95825

Ms. Angela Anderson
 Water Conservation Specialist
 Phone: (916) 978-5215
aanderson@usbr.gov

Lee Mao
 Chief, Program Management Branch
 Phone: (916) 978-5202
lmao@usbr.gov



US DEPARTMENT OF AGRICULTURE (USDA)

Rural Development

430 G Street, Agency 4169
 Davis, CA 95616-4169 / Fax: (530) 792-5837
 Web Site: www.rurdev.usda.gov/ca

Ms. Janice Waddell
 Community Programs Director
 Phone: (530) 792-5810
janice.waddell@ca.usda.gov

Mr. David Hartwell
 Community Programs Specialist
 Phone: (530) 792-5817
dave.hartwell@ca.usda.gov

Ms. Anita Lopez
 Community Programs Specialist
 Phone: (530) 792-5822
anita.lopez@ca.usda.gov

Mr. Pete Yribarren
 Community Development Specialist
 Phone: (559) 734-8732 ext.108
pete.yribarren@ca.usda.gov



USDA United States Department of Agriculture



USDA Rural Development

Dave Hartwell
Community Programs Specialist
Davis, California

USDA United States Department of Agriculture



Mission Areas

- Community Programs
Water/Wastewater & Community Facilities
- Housing Programs
Single & Multi-Family
- Business & Cooperative Programs

USDA United States Department of Agriculture

Other Utility Programs

Electric Programs
Contact: Larry McGraw
(505) 892-0353 | Larry.Mcgraw@wdc.usda.gov

Telecommunications
Broadband, Distance Learning & Telemedicine
Contact: Robert Tse
(530) 792-5819 | robert.tse@ca.usda.gov

USDA United States Department of Agriculture

Eligible Areas

Must be a city, town or census designated place with populations under.

- Water/Waste Programs
under 10,000
- Community Facilities
under 20,000



USDA United States Department of Agriculture

Eligible Applicants

- **Nonprofit Organizations** - broadly based and have significant community support
- **Federally Recognized Native American Tribes**
- **Public Bodies** - including cities, counties and special district
- **Mutual Water Companies**

USDA United States Department of Agriculture

Community Facilities Program

- Direct & Guaranteed Loans, Grants
- Health Clinics, Community Centers, Day Care
- Police / Fire Stations & Vehicles
- Libraries/Bookmobiles
- Food related projects
- Rates & Terms: Useful life or 40 years
- Population 20,000 or less
- Applications accepted all year



USDA
United States Department of Agriculture

Water & Waste Disposal Program

- Water Systems
- Wastewater Systems
- Solid Waste Disposal
- Storm Drainage/Curb & Gutter
- Sanitary Landfills/Composting Facility
- Transfer Stations



USDA
United States Department of Agriculture

Water System Improvements

- Supply
 - Groundwater
 - Surface water
- Treatment
- Storage
- Distribution
- Solar



USDA
United States Department of Agriculture

Wastewater System Improvements

- Sewer Collection
- Sewer Treatment
- Effluent Disposal
- Solar



USDA
United States Department of Agriculture

Funding Opportunities

Water & Waste Disposal Loan/Grant Program

- Low interest loans: Currently 2.375% - 4.00%
- Useful life of the facility or 40 years
- Grants up to 75% or \$1 million max
- Priority to low-income communities correcting health or sanitary problems.

Special National Programs

- Colonias Grant: w/in 150 miles of Mexican border
- Native American Grant Set-a-Side: 2 million max
- Emergency Community Water Assistance Grant (ECWAG)

USDA
United States Department of Agriculture

Eligible Loan/Grant Purposes

- Planning/Engineering/Architectural
- Environmental
- Legal
- Acquire Land and Rights
- Connection Fees

USDA
United States Department of Agriculture

Loan Only Purposes

- Interest
- Initial Operating
- Purchase of Existing Facilities
(water/sewer)
- Refinancing

USDA United States Department of Agriculture

Repayment Ability

Cover

- Operating budget for the enterprise
- New and existing debt
- Debt service reserve
- Short Lived Asset Reserve
- Some capital improvements

USDA United States Department of Agriculture

Security

Tribes

- Promissory Note
- Assignment of Tribal Income

Public Bodies

- BONDS
- General obligation bond and/or
- Pledge of taxes or assessment and/or
- Pledge of facility revenue and lien on all land, easements, right-of-way...

USDA United States Department of Agriculture

Security

Non-Profit Organizations

- Promissory note
- Assignment of income
- Deed of trust on all land, easements, right-of-way...
- UCC financing statement
- Loan resolution/security agreement

USDA United States Department of Agriculture

Application Process

- Building community support
- Initial Meeting with USDA
- Pre-Application
- Full Application
- Letter of Conditions
- Obligate Funding
- Disbursement of funds after all conditions are met



USDA United States Department of Agriculture

Application Components

- SF 424
- Intergovernmental Review
- **Preliminary Engineering Report**
- Other Credit Certification
- Supporting Documentation
- **Environmental Report**
- **Operating Budget / First Full Year**
- **Three Years Audited Financials**

USDA United States Department of Agriculture

Funding Cycle

Federal Fiscal Year
October 1 - September 30

Applications accepted throughout the year

USDA United States Department of Agriculture

Contacts – Northern CA

- **Kevin DeMers, Yreka**
(530) 842-6123 x112
- **Mike Colbert, Alturas**
(530) 233-4137 x112
- **Michael Velez, Auburn**
(530) 885-6505 x101
- **Reef Atwell, Santa Rosa x104**
Quinn Donovan, Santa Rosa x105
(707) 526-6797

USDA United States Department of Agriculture

Contacts – Central CA

- **Doug Colucci, Elk Grove**
(916) 714-1104 x106
- **Frank Risso, Manteca**
(916) 425-4768
- **Jose Guardado, Merced**
C:(209) 918-5303 | O:(209) 538-3783
- **Sally Tripp, Fresno**
(559) 276-7494 x132
- **Katie Schmitt, Salinas**
(831) 757-5294 x130

USDA United States Department of Agriculture

Contacts – Southern CA

- **Lisa Butler, Visalia**
(559) 734-8732 x103
- **Teresa Hogan, Bakersfield**
(661) 336-0967 x125
- **Al Correale, Santa Maria**
(805) 928-9269 x119
- **Daniel Cardona, El Centro x107**
Luis Andrade, El Centro x108
(760) 352-4418

USDA United States Department of Agriculture

Contacts – State Office

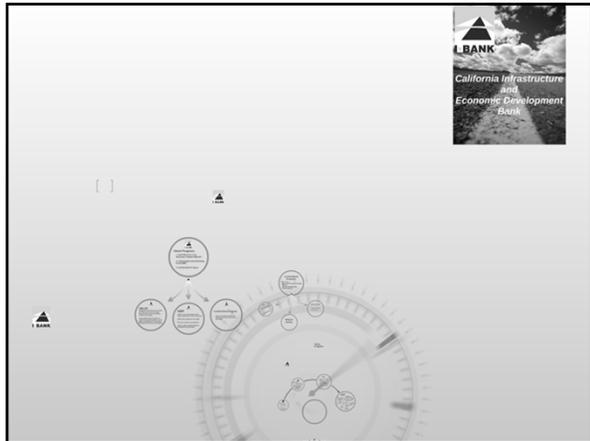
- **Dave Hartwell (530) 792-5817**
- **Anita Lopez (530) 792-5822**
- **Pete Yribarren (559) 734-8732 x108**

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USDA Rural Development
430 G Street, Agency 4169
Davis, CA 95616

Phone: (530) 792-5800

www.rurdev.usda.gov/ca



I BANK

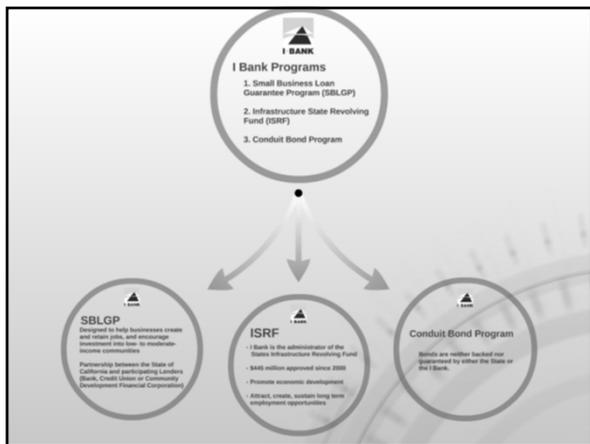
I Bank Mission

- Finance public infrastructure and private development
- Promote a healthy climate for jobs
- Contribute to a strong economy
- Improve the quality of life in California communities

I BANK

5 Member Board of Directors
22 finance, legal, and administrative professionals

All working together to provide timely and responsive financial products to borrowers



I BANK

SBLGP

Designed to help businesses create and retain jobs, and encourage investment into low- to moderate-income communities

Partnership between the State of California and participating Lenders (Bank, Credit Union or Community Development Financial Corporation)

What can SBLGP loans finance?

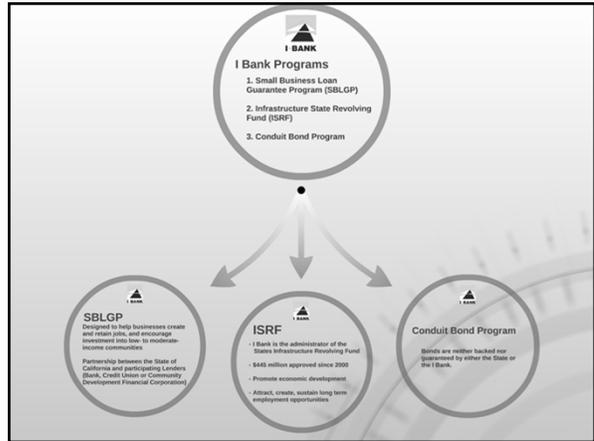
- Lines of Credit and Permanent Working Capital
- Inventory
- Building Purchase and New Construction
- Tenant Improvements
- Equipment
- Business Purchase
- Debt Refinance, under certain conditions

SBLG BENEFITS

- Directed at newer/less capitalized small businesses that have difficulty getting loans.
- Allows a small business to establish a favorable credit history with a lender.
- Collateral available that is inadequate to meet conventional requirements may qualify under State program
- Provided through the Financial Development Corporation (FDC) network, which can offer small businesses more personalized service.

What are SBLGP's general eligibility requirements?

- For-profit and non-profit business
- Maximum of 750 employees
- Demonstrate repayment ability
- Varied set of other prohibited business purposes, such as:
 - Lending, real-estate development, investments, pyramid sales or speculation



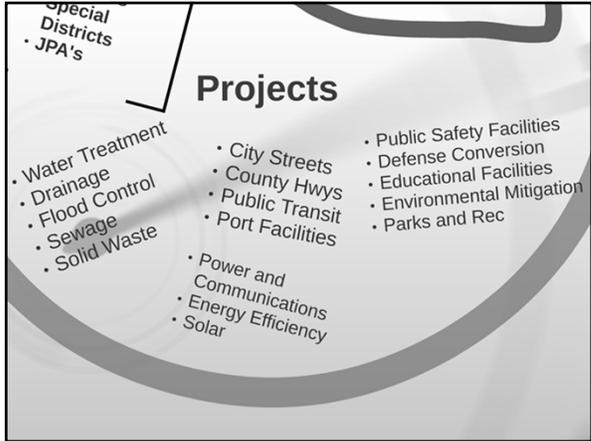
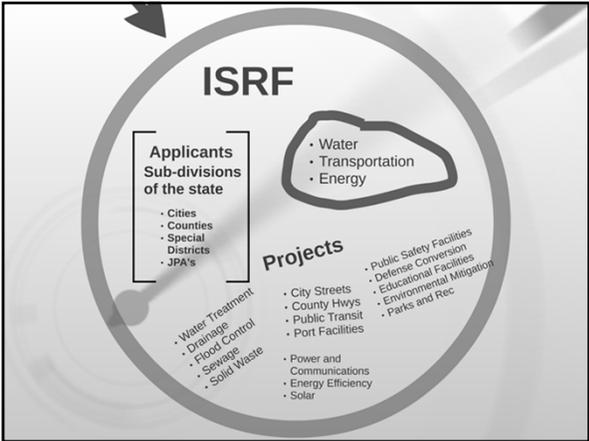
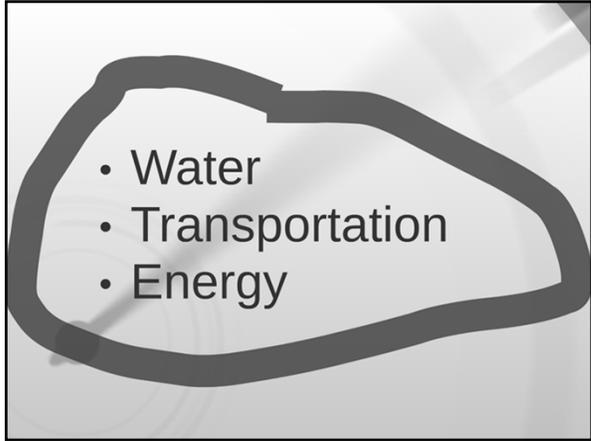
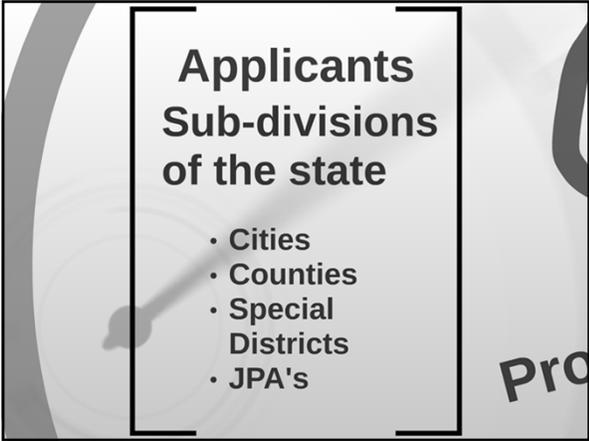
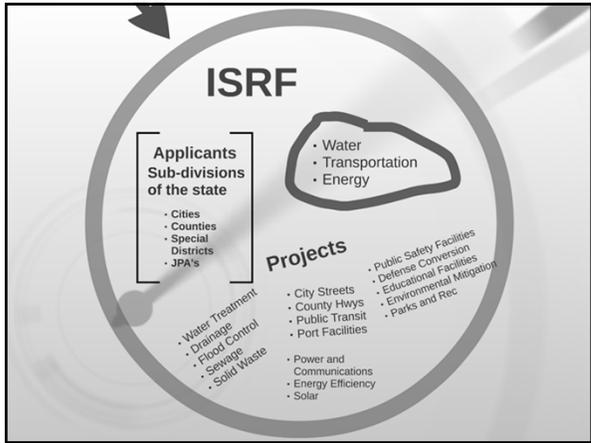

ISRF

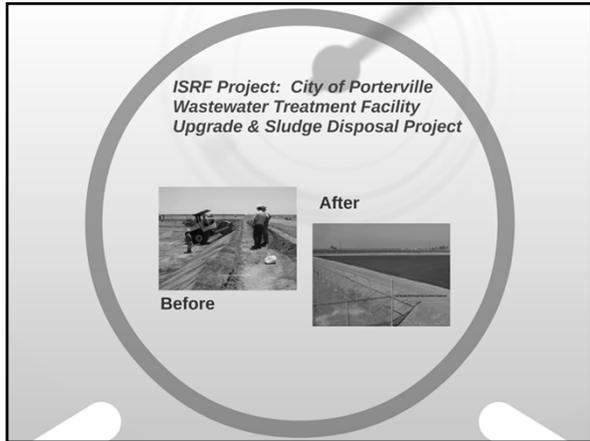
- I Bank is the administrator of the States Infrastructure Revolving Fund
- \$445 million approved since 2000
- Promote economic development
- Attract, create, sustain long term employment opportunities

ISRF

- Subsidized loans for public entities
 - 50k → 25m
 - Flexible terms
 - Discounted interest rate
 - No match required
- Applications accepted year round
- 18 categories of eligibility

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CITY OF DAVIS Water Storage Tank Project

Project Description

- 4 million gallon municipal water storage tank
- 8.6 million gallon pump station
- System piping and transmission mains

Project Financing

- 30 year term / 4.00%
- I-Bank \$10,000,000
- City of Davis \$ 806,000
- TOTAL \$10,806,000

Economic Benefits

- Needed for major retail store in new development aimed at reducing sales tax leakage
- Projected creation of 103 full-time equivalent jobs



Project Description

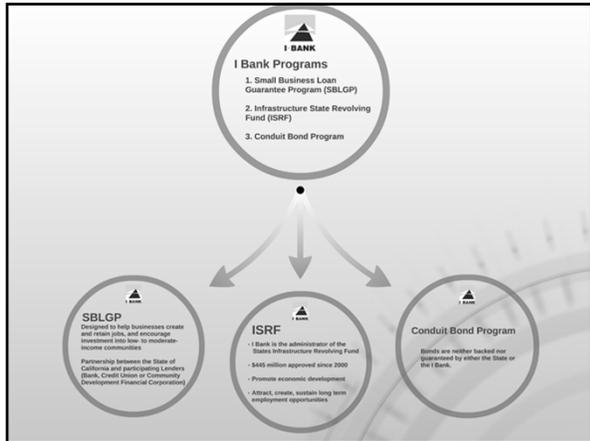
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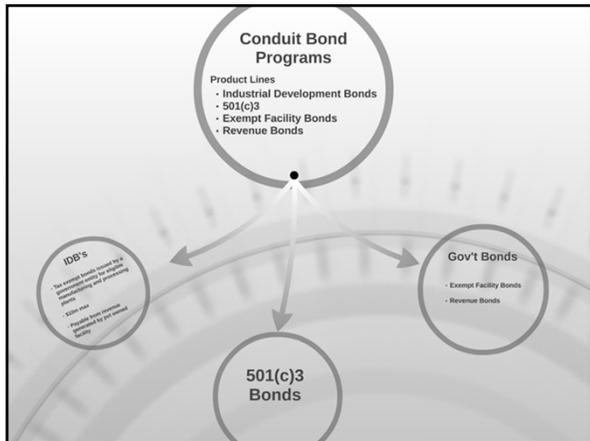
Economic Benefits

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Conduit Bond Program

Bonds are neither backed nor guaranteed by either the State or the I Bank.



IDB'S

- Tax exempt bonds issued by a government entity for eligible manufacturing and processing plants
- \$10m max
- Payable from revenue generated by pvt owned facility

Manufacturer of professional quality photographic printing and finishing

\$8,775,000 for acquisition of 17 acres of land and existing buildings totaling 140,000 square feet

Bonds backed by a Letter of Credit

Project is expected to create an estimated 78 new positions

Industrial Development Bond Project:
Bay Photo, Inc. (Scotts Valley)

Industrial Development Bond Project:
Bay Photo, Inc. (Scotts Valley)

Manufacturer of professional quality photographic printing and finishing

\$8,775,000 for acquisition of 17 acres of land and existing buildings totaling 140,000 square feet

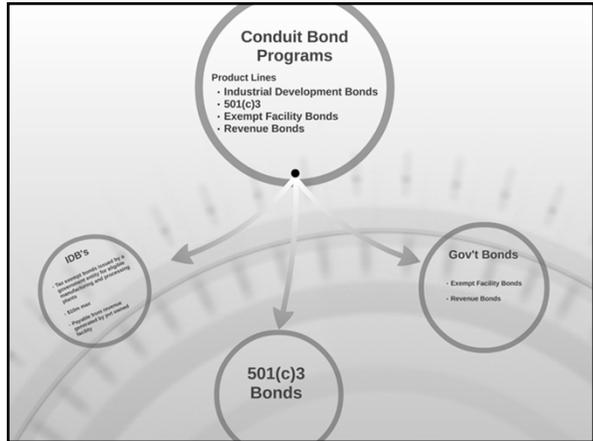
Bonds backed by a Letter of Credit

Project is expected to create an estimated 78 new positions

**Industrial Development Bond Project:
Hydro Systems, Inc. (Valencia)**



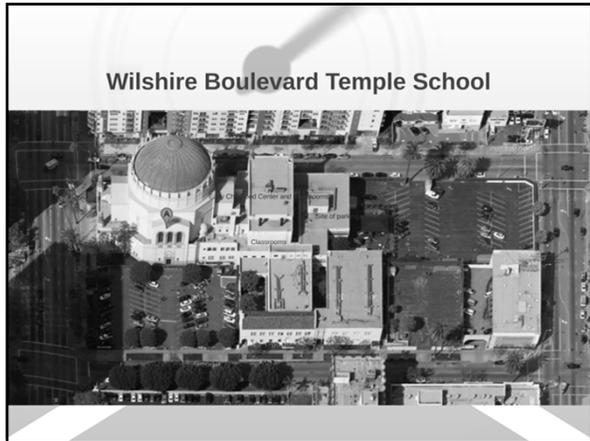
- \$2.5 to finance solar panel install
- Reduction in energy cost by 70%



501(c)3 Bonds

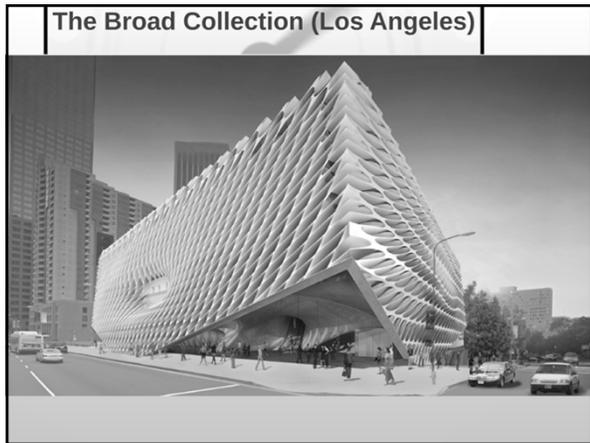
501(c)3 Bonds

- Charitable
- Religious
- Educational
- Scientific
- Literary
- Public Safety
- Prevention for cruelty to children or animals



The Broad Collection (Los Angeles)

- 40-50 full time jobs
- Publicly offered fixed rate tax exempt bonds
- 10 year term



501(c)(3) Non-Profit Projects



Contemporary Jewish Museum



Goodwill



SEA Education



California Academy of Sciences



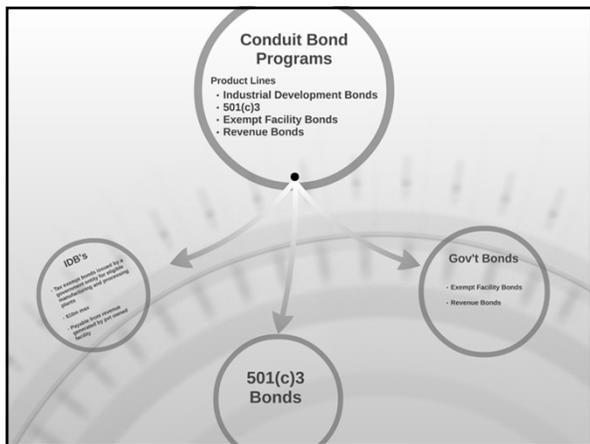
Academy of Motion Pictures



Scraps



Santa Barbara Center for Performing Arts



Gov't Bonds

- Exempt Facility Bonds
- Revenue Bonds

Bonds for Governmental Entities



Toll Bridge Seismic Retrofit Program (Caltrans)

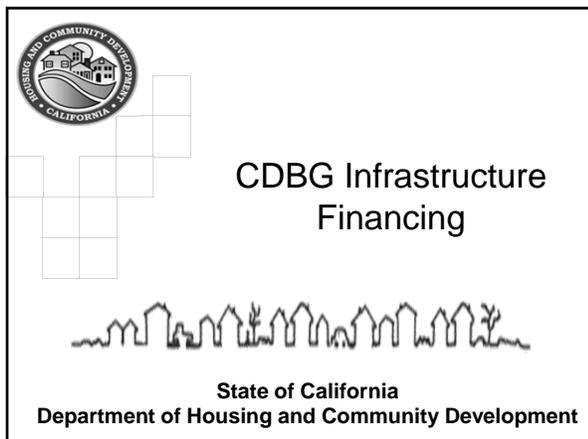
\$1.1 billion to finance the seismic retrofitting of seven State-owned bridges in the Bay Area and Southern California

Clean Water State Revolving Fund Program (SWRCB)

\$300 million to provide additional loan funds for the SWRCB's Clean Water State Revolving Fund (2002)

ISRF and Conduit Bond Project: Rough and Ready Island (Port of Stockton)





Community Development Block Grant (CDBG)

- Federally funded grant program run by Dept. of Housing and Urban Development (HUD)
- Created by the Housing and Community Development Act of 1974
- Grants are given only to cities and counties
- State administers “non-entitlement” program & HUD administers “Entitlement” program for large cities and urban counties

Eligible Activities (state)

- Federal Statute lists 25 eligible activities
- State CDBG groups them into 8 main categories
- The first six activities are Community Development (CD) Program only
 1. Housing Rehabilitation
 2. Housing Acquisition
 3. Housing New Construction
 4. Public Improvements
 5. Public Facilities
 6. Public Services
 7. Economic Development Activities (ED) (3 types)
 8. Planning and Technical Assistance (ED & CD)

Three National Objectives (federal)

- All program / project activities must meet one of the three National Objectives:
 1. Principally Benefit Low-income Households (housing) or Persons. These are beneficiaries with incomes at or below 80% of county median income
 2. Mitigate Slums and Blight (area or spot basis) primarily eligible in Economic Development program
 3. Meet an Urgent Need – used only for disasters

Annual Notice of Funding Availability (NOFA)

- NOFA released January each year. Includes Allocations for:
 - Community Development
 - Economic Development - Enterprise Fund (EF) and Over-The-Counter (OTC)
 - Native American
 - Colonias
 - Planning and Technical Assistance
 - All are Competitive except OTC is first-come-first-serve

Community Development (CD), Native American & Colonias Funding Levels

- Grants to jurisdictions to assist with meeting local development needs
- Three Allocations within NOFA:
 - **CD Allocation** open to all eligible jurisdictions (largest at approx. \$20 million for 2014)
 - **Native American Allocation** only for non-recognized tribes or terminated rancherias (smallest at approx. \$500,000 per year)
 - **Colonias Allocation** only for designated communities within 150 miles of border with Mexico (\$2 million for 2014)

Community Development Allocations

- Traditionally has funded housing activities, primarily Housing Rehabilitation and Homeownership Assistance programs
- In the last two years, over half of annual awards have funded Public Infrastructure and Facility projects
- Colonias Allocation can only be used for:
 - Potable Water
 - Sewer
 - Decent and safe Housing

Public Infrastructure in Support of Housing New Construction

- City of Oroville 2006 CDBG Grant \$850K for Off-site Improvements
- Hillview Ridge Apt. 72 low income units
- Project funded by HOME, CDBG, tax credits



Non-Housing Activities

- Public Infrastructure & Facility projects must benefit at least 51% Low Income persons in the area served (higher benefit needed to be competitive)
- Project must also address a health and safety problem
- **Note:** CDBG funds can be used under a housing rehab activity to pay for private lateral services (including meters)

Water System Public Infrastructure



Installation of new water system in Placer County, included installation of private laterals for low income households.

Health Care Public Facility



Before and after photos of Del Norte Healthcare District's Clinic in Crescent City. CDBG and USDA funding for greatly expanded facility providing services to low income families.

Economic Development (ED)

- Grants to jurisdictions that provide funds to support businesses
- Two Allocations:
 - Enterprise Fund Programs
 - Business Assistance Activities
 - Microenterprise Activities
 - Over the Counter Projects
 - Direct Assistance to Business
 - Off-site Infrastructure

Over the Counter (OTC)

- **Large Project Funding for Eligible Activities**
 - **Funding**
 - Annual allocation of six million (approximately)
 - Applications are received on a continuous basis
 - Projects up to \$3 million (possibly higher if multi-year)
 - **Activities**
 - Direct Financial Assistance (non-profit or for profit)
 - Off-site Infrastructure in support of business or development
- State CDBG staff recommends applications to HCD's Internal Loan Committee for ED, which can review and approve grant to sponsoring jurisdiction

ED Public Infrastructure in Support of Shopping Center



Public Infrastructure in support of a retail shopping center. I-Bank and CDBG funded infrastructure on Hwy 49 in Placer County for Auburn Plaza.

ED Public Facility for Commercial Fishing Fleet



Del Norte County, Crescent City Harbor District Inner Boat Basin Commercial Fishing Facility, before 2011 Tsunami, after Tsunami and after 40 million dollar reconstruction using CDBG, Cal EMA and USDA funding. 15

Planning and Technical Assistance (PTA) NOFA

- **Eligible study activities:**
 - ED Project Feasibility Study
 - Pre- Engineering and Pre- Architectural Design
 - Housing Conditions Survey Study
- **Maximum of \$100,000 per year, ED or CD, 2 Studies.**
- **Major changes to eligible planning study activities in 2014 NOFA. See NOFA for details.**

Program Income Funded Water Project



Eel River Infiltration Gallery for Rio Dell Water System, \$100,000 in CDBG program income funds paid for final design and environmental. Other funders included Department of Public Health and USDA.

CDBG Overlay Requirements

- **Federal requirements:**
 - **Environmental Reviews (NEPA)**
 - **Prevailing Wage: Davis-Bacon & Related Acts**
 - **Relocation / Acquisition: URA Federal Law**
 - **Competitive Procurement of All Goods and Services**
 - **Equal Opportunity**
 - **Citizen Participation – notices, hearings, public information files**
- **State CDBG Grant Management Manual available on website**



For More Information On CDBG

- **State CDBG Program**
 - **Program Secretary:** (855) 333-CDBG(2324)
 - **Program Website:**
<http://www.hcd.ca.gov/fa/cdbg/index.html>
 - **Subscribe to Email List:**
http://www.hcd.ca.gov/fa/dfa_subscriber.html
- **Entitlement CDBG:**
 - **Contact the community development department of your local government**



Other HCD Programs:

- **Housing Policy Division's (HPD) Parks NOFA:**
 - See HPD flyer and Contact HPD Staff at:
Website: www.hcd.ca.gov/hpd/hrpp
E-mail: Housing_parks@hcd.ca.gov
Phone: 916-263-2911

**California is in a drought emergency.
Visit <http://www.SaveOurH2O.org> to
help save water.**

**STATE WATER RESOURCES CONTROL BOARD
DIVISION OF FINANCIAL ASSISTANCE (DFA)**

***Providing Financial Assistance for
Projects that Serve the
Water Boards' Mission:
Preserve, Enhance, and Restore
California's Water Resources***

<http://www.waterboards.ca.gov>

Slide No. 1

PRESENTATION TOPICS

- Clean Water State Revolving Fund Program
- Water Recycling Funding Program
- Small Community Wastewater Program
- Other DFA Programs
- Resources
- Note: Drinking Water Funding will be addressed in the next presentation

Slide No. 2

**CLEAN WATER STATE REVOLVING FUND
(CWSRF) PROGRAM**

- Low interest financing for water quality projects
- Funding for:
 - Wastewater and Water Recycling Projects
 - Expanded Use (Nonpoint Source) Projects
- Annually funds between \$200 - \$300 million

Slide No. 3

**CWSRF PROGRAM:
WASTEWATER AND WATER RECYCLING
(SECTION 212 of Clean Water Act)**

Construction of publicly-owned facilities

- Wastewater treatment
- Local sewers
- Sewer interceptors
- Water reclamation facilities

Slide No. 4

**CWSRF PROGRAM:
EXPANDED USE PROJECTS
(SECTIONS 319 & 320 of Clean Water Act)**

Expanded Use Projects include, but not limited to:

- Nonpoint source (NPS) projects identified in California's NPS Plan
- Development and implementation of an estuary comprehensive conservation & management plan
- Stormwater reduction and treatment facilities, etc.

Slide No. 5

**CWSRF PROGRAM:
FINANCING TERMS**

- Interest Rate: $\frac{1}{2}$ most recent General Obligation Bond Sale at time of funding commitment (typically 2 - 3%)
- Financing Term: Up to 30 years or the useful life of the project
- Repayment: Annual payments begin 1 year after completion of construction

Slide No. 6

CWSRF PROGRAM: PRINCIPAL FORGIVENESS/GRANTS

	FY 2011	FY 2012	FY 2013	FY 2014
Principal Forgiveness (PF) - Federal Capitalization Grants				
Category 1	\$19,572,892	\$5,055,894	\$4,047,976	\$4,911,155
Category 2	\$13,048,594	\$3,370,596	\$2,698,651	\$3,274,104
TOTAL PF	\$32,621,486	\$8,426,490	\$6,746,627	\$8,185,259
Small Community Grant (SCG) Fund – Assembly Bill 2356				
Category 1 Only	\$1 million	\$12 million	\$15 million	\$1 million
TOTAL (PF + SCG)	\$33,621,486	\$20,426,490	\$21,746,627	\$9,185,259

Category 1 = Small (<20,000 people) Disadvantaged (Median Household Income [MHI] <80% of Statewide MHI) Community (SDAC) with substantial water quality investment (i.e., wastewater rates >1.5% of MHI)
Category 2 = SDAC with wastewater rates <1.5%, Larger DACs, Disadvantaged Area of Larger Community, etc.

Slide No. 7

CWSRF PROGRAM: APPLICATION SUBMITTAL

- CWSRF applications accepted on a continuous basis
- One application package used for both Wastewater /Water Recycling Projects, and Expanded Use Projects
- Electronic or hard copy submittal
 - Financial Assistance Application Submittal Tool (FAAST): <https://faast.waterboards.ca.gov>
 - Via email to cleanwatersrf@waterboards.ca.gov
 - Mail a CD and/or hard copy
- Funds, including principal forgiveness/grants, are committed in readiness-to-proceed order (i.e., upon completion and approval of the application)

Slide No. 8

CWSRF PROGRAM: TYPICAL FUNDING APPROVAL PROCESS

- Initial Financing Agreement
 - Funds committed after complete application is approved
 - Access planning and design disbursements (soft costs)
- Submit Final Budget Approval Package
- Amend Financing Agreement
 - Reflects final project costs based on selected bid
 - Access to construction funds
 - Details eligibility determinations

Slide No. 9

CWSRF PROGRAM: CONTACT

Mr. Robert Pontureri, Senior Engineer
 Email: robert.pontureri@waterboards.ca.gov
 Phone: 916.341.5828

Website:
http://www.waterboards.ca.gov/water_issues/programs/grants_loans/srf/index.shtml

Slide No. 10

WATER RECYCLING FUNDING PROGRAM (WRFP)

- To promote use of treated municipal wastewater to augment or offset state/local water supplies:
 - Recycled water treatment,
 - Recycled water distribution, and
 - Groundwater recharge/reclamation
- For publicly-owned facilities, and privately-owned water utilities regulated by the Public Utilities Commission

Slide No. 11

WATER RECYCLING FUNDING PROGRAM (WRFP)

- Special provisions for Water Recycling construction projects:
 - Minimum Use Requirements: Initial delivery and total project capacity goals
 - Market Assurances: Mandatory use ordinance or user contracts required
 - Reporting Requirements: Annual Report on recycled water use for 5 years
 - Projects must be on Competitive Project List to be considered for WRFP construction grant funding
 - To be placed on the CPL please contact the WRFP
- Applications accepted on a continuous basis and funded in readiness to proceed order

Slide No. 12

WATER RECYCLING FUNDING PROGRAM (WRFP)

- Grants for planning
 - 50% of eligible costs to max of \$75,000
- Low interest loans and limited grants for construction
 - 1% financing through CWSRF for a limited time
 - \$800 million available at this rate
 - For near-term projects. In general, completed before January 17, 2017
 - Funding applications must be submitted by December 2, 2015
 - In response to the Drought to accelerate water supply enhancement projects
 - Standard financing term of 20-30 years at half the most recent General Obligation Bond Sale at time of funding commitment (typically 2 - 3%)

Slide No. 13

WRFP CONTACTS

Mr. Dan Newton, Senior Engineer
Email: dan.newton@waterboards.ca.gov
Phone: 916.324.8404

Website:
http://www.waterboards.ca.gov/water_issues/programs/grants_loans/water_recycling/

Slide No. 14

SMALL COMMUNITY WASTEWATER: CWSRF PROGRAM MODIFICATIONS

- Process disbursements within 30 days
- Refinance existing debts, when necessary to make proposed project more affordable
- Reduced interest rates as low as 0% (offered on a limited basis) for SDACs with wastewater rates greater than 1.5% of median household income:
- Principal Forgiveness/Small Community Grants (Slide 7)
- Planning Financing
 - Eligible "Category 1" planning financing applicants may receive 100% principal forgiveness/grants, not to exceed \$500,000

Slide No. 15

SMALL COMMUNITY WASTEWATER: TECHNICAL ASSISTANCE (TA) CONTRACT

- To provide wastewater-related TA for SDACs
- Typically only 30-hours per community is provided
 - Additional time may be approved on a case-by-case basis
- Typical types of assistance:
 - Design and operational solutions
 - Preparation of financial assistance applications
 - Community outreach, awareness, education
 - Fiscal management and accountability
 - Capital improvement planning and asset management
 - Rate setting and the Proposition 218 process

Slide No. 16

SMALL COMMUNITY WASTEWATER: PROGRAM CONTACT

Ms. Meghan Tosney, Senior Engineer
meghan.tosney@waterboards.ca.gov
(916) 341-5729

Website:
http://www.waterboards.ca.gov/water_issues/programs/grants_loans/small_community_wastewater_grant/strategy.shtml

Slide No. 17

CLEANUP AND ABATEMENT ACCOUNT (CAA) PROGRAM \$6 Million Total

- A total of \$6 million is available for **disadvantaged communities with a contaminated water supply**:
 - \$ 4 million for any contamination
 - \$2 million for anthropogenic (man-made) contamination
- Eligible Entities:
 - Public Agencies
 - Not-for-Profit Water Districts
 - Not-for-Profit Organizations
 - Tribal Governments
- Eligible Projects (not limited to):
 - Bottled Water/Hauled Water
 - Vending Machines
 - Point-of-Use Devices (e.g: Filtration)
 - Wellhead Treatment
 - Planning

Slide No. 18

CLEANUP AND ABATEMENT ACCOUNT: PROGRAM CONTACT

Ms. Conny Mitterhofer, Senior Engineer
conny.mitterhofer@waterboards.ca.gov
(916) 341-5720

Websites:
http://www.waterboards.ca.gov/water_issues/programs/grants_loans/caa/
http://www.waterboards.ca.gov/water_issues/programs/grants_loans/caa/dw_droughtfund/index.shtml

Slide No. 19

New SWRCB Website

To help determine appropriate funding programs

Select this button to help determine the right funding program(s) for your project

Slide No. 20

New SWRCB Website

To help determine appropriate funding programs

PROGRAM	FUNDING CAPACITY	FUNDING HIGH POINTS
TYPE OF FUNDING		FUNDING DETAILS
Please re-enter the funding options above, for available programs		
Loan or Grant	Public Agency Nonprofit Investor Owned Utility Private	Planning Design Construction Implementation
		Sewer, Stormwater, Recycled Water, Seawater Intrusion, NPS Project, Estuary Project, and Monitoring, Education, Etc.

Slide No. 21

New SWRCB Website

To help determine appropriate funding programs

PROGRAM	FUNDING CAPACITY	FUNDING HIGH POINTS
Construction Financing (CLP) FOR Construction (with Planning and Design Costs eligible retroactively) as ADMINISTERED BY: Water Recycling Funding Program (WRFP) Funding Process		Financing - Low interest 20 Year Term Comply with State Requirements
Construction Financing (SWIC) FOR Construction (with Planning and Design Costs eligible retroactively) ADMINISTERED BY: Water Recycling Funding Program (WRFP) Funding Process		Financing - Low interest 20 Year Term \$2.5 Million Limit Comply with State requirements
Construction Financing (POTW-212) FOR Construction (with Planning and Design Costs eligible retroactively) ADMINISTERED BY: Clean Water State Revolving Fund (CWSRF) Funding Process		Financing - Low interest 30 Year Term Comply with State requirements Principal Forgiveness DAC's ... Etc.

Slide No. 22

EXAMPLES OF OTHER DFA PROGRAMS

- See funding matrices at the back of the CFCC handbook for information about other programs:
 - Federal 319(h) Nonpoint Source Grant Program
 - Proposition 84 Clean Beaches Initiative (CBI) Grant Program
 - Areas of Special Biological Significance (ASBS) Grant Program
 - Underground Storage Tank Cleanup Fund (USTCF)
- You can also go to the DFA Webpage:
http://www.waterboards.ca.gov/water_issues/programs/grants_loans/

Slide No. 23

Resources: LYRIS Email Lists

- Subscription form can be accessed at:
http://www.waterboards.ca.gov/resources/email_subscription/swrcb_subscribe.shtml
- Available for many programs, including:
 - CWSRF: "Clean Water State Revolving Fund"
 - WRFP: "Water Recycling Funding Program"
 - SC Wastewater: "Small Communities Program"
 - CBI: "Beach Water Quality Grants"
 - USTCF: "Underground Storage Tanks Cleanup Fund"
 - ASBS: "Areas of Special Biological Significance (ASBS) Grant Program-Prop 84"

Slide No. 24



**State Water Resources
Control Board (SWRCB)**
Division of Financial Assistance

Funding for Public Water Systems*

*Previously administered by California Department of Public Health (CDPH)

George Faggella
Capacity Development Coordinator

SWRCB Drinking Water Funding Programs

- ◆ Proposition 84 Emergency Grant Funding
- ◆ Drinking Water State Revolving Fund
- ◆ Water System Drought Emergency Funding



Prop. 84 EMERGENCY GRANT FUNDING



Prop. 84 EMERGENCY GRANT FUNDING

Part 1 Emergency Grants:

Provide funding for interim water supplies

- ◆ \$50,000 Cap per public water system
- ◆ Unable to provide drinking water meeting primary safe drinking water standards
- ◆ Must be on DWSRF Project Priority List
- ◆ Serving a severely disadvantaged community (MHI <60% Statewide MHI)

Prop. 84 EMERGENCY GRANT FUNDING

Part 2 Emergency Grants:

- ◆ Currently about \$1M remaining
- ◆ Emergency = sudden unanticipated event such as earthquake, fire, landslide, well collapse; no water!
- ◆ Can fund alternative water supply
- ◆ Cap at \$250K per project
- ◆ \$10K or less, we can authorize by oral agreement

DROUGHT EMERGENCY FUNDING

Public Water System Drought Emergency Funding Program (PWSDEF):

- ◆ Senate Bill 103 (February, 2014) amending 2013 Budget Act
- ◆ About \$7M remaining, available until June 30, 2016
- ◆ Initial Grant cap/Limit \$500K per project
- ◆ If a project requires more that \$500K additional criteria must be met

ELIGIBLE RECIPIENTS

- ◆ Community water systems
- ◆ Public water systems owned by school districts
- ◆ Must be experiencing drought related emergencies

HOW TO APPLY FOR FUNDING

- ◆ CONTACT SWRCB DDW DISTRICT OFFICE
- ◆ Requests may be submitted on continuous basis
- ◆ Priority is based on most eminent not first to apply

ELIGIBLE PROJECTS

- ◆ Projects include but are not limited to:
- ◆ Interim alternate water supplies
- ◆ Connections to adjacent water systems
- ◆ Replacement wells
- ◆ Well rehabilitation
- ◆ Temporary treatment systems
- ◆ Rented, borrowed or purchased equipment
- ◆ Design, installation and initial operation costs

PWSDEF COOPERATIVE EFFORTS

SWRCB/USDA:

- ◆ SWRCB and USDA are jointly working with drought affected Public Water Systems that meet eligibility requirements
- ◆ SWRCB maintains ongoing communication with the State Operations Center / Medical and Health Coordination Center.

DROUGHT FUNDING CONTACTS and RESOURCES

- ◆ SWRCB DWP Headquarters
 - ◆ Mainline: (916) 449-5600
 - ◆ Email Address: DWPFunde@CDPH.CA.GOV
- ◆ SWRCB District Offices
 - ◆ Website Link to District Map/Contacts:
<http://www.cdph.ca.gov/programs/Documents/DDWEM/OriginalDistrictMapCDPH.pdf>
 - ◆ SWRCB Drought Webpage:
<http://www.cdph.ca.gov/certlfo/drinkingwater/Pages/DroughtPreparedness.aspx>
- ◆ USDA
 - ◆ ECWAG Website Link:
<http://www.rurdev.usda.gov/UWP-ecwag.htm>
 - ◆ Household Well Water System (HWW3) Grants Website Link:
<http://www.rurdev.usda.gov/uwp-individualwellsystems.htm>

Drinking Water State Revolving Fund (DWSRF)



◆ A low interest loan program with limited grant funds

- ◆ 30% of annual federal contribution can be used for grants remainder must be committed to loans

DWSRF

◆ Fundable Projects

- ◆ Projects that return public water systems to compliance with primary drinking water standards
- ◆ Public water system meter projects
- ◆ Consolidation incentive program

DWSRF

◆ Eligibility:

- ◆ A Public Water System (PWS)
- ◆ A legal entity that owns the PWS with authority to enter into contracts and incur debt on behalf of the community to be served
- ◆ Ranked on the Project Priority List

DWSRF

◆ How projects are ranked:

- ◆ Ranking is based on health risk
 - ◆ 15 categories of eligible projects [A through O]
 - ◆ A - Documented waterborne disease outbreaks
 - ◆ B - Microbial contamination
 - ◆ C - Unfiltered surface water and wells with fecal contamination
 - ◆ D - Surface Water Treatment violations, including open reservoirs
 - ◆ E - Water outages (includes severe source/transmission deficit)
 - ◆ F - Nitrate contamination; chronic Total Coliform Rule violations
 - ◆ G - Distributed water exceeds chemical or radiological primary MCL
 - ◆ H - Water meters for existing unmetered service connections
- Projects ranked lower than H generally are not invited to participate in the funding program.

DWSRF

◆ Constraints:

- ◆ Must solve the problem with the most cost effective long term solution
- ◆ Amount of grant is based on how much loan a system can afford
 - ◆ minimum water rate a system must charge = 1.5% of MHI to get grant . This is the Target Consumer Rate (TCR)
- ◆ Funds are only for capital costs not O&M

DWSRF

◆ TCR Examples:

Annual MHI	TCR (monthly, water only)
\$24,000	\$30
\$30,000	\$38
\$36,000	\$45
\$48,000	\$60
\$55,837	\$70
\$60,000	\$100
\$72,000	\$120

DWSRF

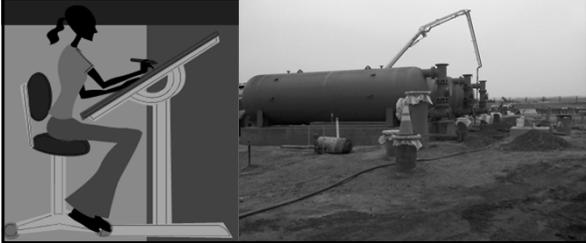
- ◆ 2014 Interest Rate for DWSRF loans is 2.085%
- ◆ Disadvantaged Communities eligible for 0% interest loans and grants
- ◆ Statewide MHI for 2014 is \$58,504
- ◆ Disadvantaged Community: MHI < 80% Statewide MHI
- ◆ Severely Disadvantaged Community: MHI < 60% Statewide MHI
- ◆ Disadvantaged Community can receive up to 80% grant
 - ◆ MHI < \$46,803
- ◆ Severely Disadvantaged Community can receive up to 100% grant
 - ◆ MHI < \$35,102

DWSRF

◆ Two types of funding

◆ Planning

◆ Construction



DWSRF

◆ Planning Project Funding

- ◆ \$500K max / project
- ◆ Disadvantaged Publicly Owned & Not for Profit water systems are eligible for Grants up to \$500,000
- ◆ Must be completed within 18 months
- ◆ 5 year loan term
- ◆ Can take on the terms of a construction loan if construction application is submitted within 120 days of the planning project completion

DWSRF

◆ Construction Project Funding

- ◆ 20 year loan term (*up to 30 years for disadvantaged communities if needed for affordability*)
- ◆ \$20M max / year / project
- ◆ \$30M max / year / entity
- ◆ 1st claim due within 6 months from of FA execution
- ◆ At least one claim must be submitted each quarter
- ◆ Disadvantaged Publicly Owned & Not for Profit water systems are eligible for funding subsidies
- ◆ grant ≤ \$3 M, no interest, 30 year loan term

How to Enter the Drinking Water Program

◆ How to apply for DWSRF program?

- ◆ Universal Pre-Application
 - ◆ at <http://drinc.ca.gov/Preapp>
 - ◆ Now continuously accepting Pre-Applications
- ◆ Project Priority List Ranking
- ◆ Rankings of H or higher are invited
- ◆ Return the Statement of Intent ←

Drinking Water Funding Program

Main line: (916) 449-5600

1616 Capitol Ave (MS 7418)

P.O. Box 997377

Sacramento, CA 95899-7377

Email: dwpfunds@waterboards.ca.gov

Website:

<http://www.cdph.ca.gov/programs/Pages/DWP.aspx>

Drinking Water Program Links

- ◆ Drinking Water Program District Offices
<http://www.cdph.ca.gov/programs/Documents/DDWEM/OriginalDistrictMapCDPH.pdf>
- ◆ Safe Drinking Water State Revolving Fund
<http://www.cdph.ca.gov/services/funding/Pages/SRF.aspx>

RECLAMATION
Managing Water in the West

Financial Assistance Opportunities



U.S. Department of the Interior
 Bureau of Reclamation

Reclamation's Mission

"Manage, develop, and protect water and related resources in an environmentally and economically sound manner in the interest of the American public."

RECLAMATION

Water Conservation Program

Mission:

- Optimize beneficial use of water resources
- Educate customers about the opportunities for and the benefits of water conservation
- Cooperate with agencies and other stakeholders to be leaders in conservation

RECLAMATION

**Conservation Partnerships
 Financial and Technical Assistance**

- Reclamation has the responsibility to help improve water management and the efficient use of water in the western United States.
- Funding available to irrigation districts and urban water agencies for water management improvements that accelerate the implementation of conservation activities.

RECLAMATION

**Conservation Partnerships
 Financial and Technical Assistance**

- Bay-Delta Restoration Water Use Efficiency Grants
- WaterSMART Grants
- Title XVI

RECLAMATION

Grant Partnerships

- Public Law 111-11, Secure Water Act
 - Grants not to exceed 50% project's cost and no more than \$5 million
- Grants available to States, tribes, irrigation and water districts, and other entities with water or power delivery authority
- Grant programs are posted on www.grants.gov
 - Posted for 45-90 days
- Awards reviewed by a technical committee and selected through a competitive process

RECLAMATION

Water Conservation and Efficiency Grants

- Projects funded in the past:
 - SCADA
 - Canal lining/piping
 - Groundwater banking
 - Leak detection
 - Irrigation retrofits
 - Appliance rebate programs

RECLAMATION

San Luis Canal Company



RECLAMATION

Bay-Delta Restoration Water Use Efficiency Grants



Sacramento-San Joaquin Delta

- Hub of CVP and SWP
- Provides drinking water to 2/3 of Californians
- 750 plant and animal species
- Provides water to over 4 million acres of farm land
- Supports 80% of California's commercial fisheries

RECLAMATION

CALFED Water Use Efficiency Grants

- Accelerate the implementation of cost-effective actions that provide state-wide benefits through water conservation
- Can result in significant benefits to the Delta through water quality, water supply reliability, and instream flows
- To date, Reclamation has awarded 59 grants resulting in over \$53 million being invested into water use efficiency projects statewide

RECLAMATION

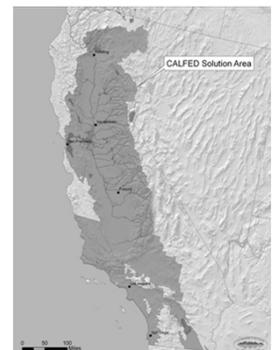
Agricultural Water Conservation and Efficiency Grants

- Partnership with Natural Resource Conservation Service (NRCS)
- Eligible projects include projects that save water, improve water management, create new supplies for agricultural irrigation, improve energy efficiency, and/or benefit endangered species.
- Projects should also increase the capability of on-farm water conservation or water use efficiency projects that can be undertaken by farmers through irrigation system improvements.

RECLAMATION

Bay-Delta Restoration Water Use Efficiency Grants

- Eligible applicants must be located within the CALFED Solution Area



RECLAMATION

CALFED Water Use Efficiency Grants



East Bay MUD

RECLAMATION

WaterSMART Grants

- Completed Reclamation-wide
 - Water and Energy Efficiency Grants
 - System Optimization Reviews
 - Advanced Water Treatment Pilot and Demonstration Projects
 - Cooperative Watershed Management Program

- Historically, awards range from \$200,000 to \$1,500,000

RECLAMATION

WaterSMART Grants Budget

- Since 2010, over \$77 million in Federal funding has been awarded to WaterSMART projects resulting in an estimated water savings of 419,000 acre-feet of water annually.
- Averaging over \$19 million in Federal funding annually.
- In FY 2013, \$21.4 million in Federal funding was used to fund 42 new and ongoing WaterSMART Grant projects with a combined expected water savings of 100,300 acre-feet.

RECLAMATION

Pelger Mutual Water Company



RECLAMATION

WaterSMART – Cooperative Watershed Management Program



- Provides funding to watershed groups to develop local solutions to water management needs

- Purpose:
 - Improve water quality and ecological resilience
 - Conserve water
 - Reduce conflicts

Title XVI Water Reclamation and Reuse Program

- Reclamation partners with non-federal agencies to:
- Identify and investigate opportunities to reclaim and reuse wastewaters and naturally impaired ground and surface waters
 - Conduct research for reclamation and reuse, and
 - Fund planning studies and construction activities



RECLAMATION

Title XVI Program

- Title XVI construction funding is provided to projects specifically authorized by Congress and undertaken by local government entities.
- Through Title XVI over \$520 million in Federal cost-share has been leveraged with more than \$1.7 billion in non-federal funding since 1992.
- For more information, please contact Nicole Johnson at Njohnson@usbr.gov or 916-978-5085.

RECLAMATION

Thank you



www.usbr.gov/mp/watershare

Funding Opportunity Announcements
Previously Funded Projects
Performance Measures
Newsletter
Water Management Planning Tools

RECLAMATION

State of California
Department of Water Resources

Grant & Loan Programs

2014 CFCC Funding Fair



General Obligation Bonds

- Prop 84 - Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006
- Prop 1E - Disaster Preparedness and Flood Protection Bond Act of 2006
- Prop 50 - Water Security, Clean Drinking Water, Coastal, and Beach Protection Act of 2002
- Prop 13 - The Safe Drinking Water, Clean Water, Watershed Protection and Flood Protection Bond of 2000
- Prop 204 - Safe, Clean, Reliable Water Supply Act of 1996
- Prop 81 - California Safe Drinking Water Bond Law of 1988

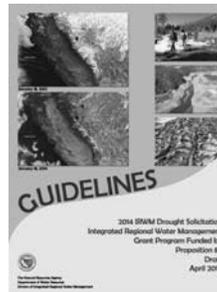
2

Eligible Applicants

- Local public agencies (CWC §10535)
 - Cities
 - Counties
 - Special districts (Reclamation Districts, Flood Control Districts, etc.)
 - Joint powers authorities
 - Political subdivisions of the State
 - Public utilities (Section 216 of Public Utilities Code)
 - Mutual water companies (Section 2725 of Public Utilities Code)
- Additional eligible applicants noted on slides

3

2014 Integrated Regional Water Management
Drought Grant Solicitation



Additional Eligible Applicants

- Federally recognized Native American Tribes

Prop 84

- \$200 million

4

2014 Integrated Regional Water Management
Drought Grant Solicitation

Eligible Projects

- Drought preparedness
- Local water supply reliability
- Delivery of safe drinking water
- Conservation program implementation and measures
- Reduce water quality or ecosystem conflicts

5

2014 Integrated Regional Water Management
Drought Grant Solicitation

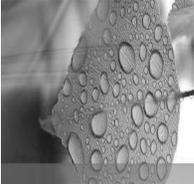


- Proposal Solicitation Package released June 2, 2014
- Applications due July 21, 2014

www.water.ca.gov/irwm/grants/implementation.cfm

6

Water-Energy Grant Program



Greenhouse Gas Reduction Fund

- \$19 million

7

Water Energy Grant Program

Eligible Projects

- Residential, commercial, or institutional water efficiency programs or projects
- Projects must do all of the following:
 - Reduce greenhouse gas emissions
 - Reduce water use
 - Reduce energy use

8

Water Energy Grant Program

Proposal Solicitation Package release in fall 2014

www.water.ca.gov/waterenergygrant/index.cfm

9

Agricultural Water Conservation Proposition 13 Loan Program



Available funds

- Approximately \$32 million
- Up to \$5 million for each project

Repayment

- 20 years at ½ the current bond interest rate

10

Agricultural Water Conservation Proposition 13 Loan Program



Eligible Projects

- Feasible, cost effective, construction projects
- Improve agricultural water use efficiency
- Other capital outlays or purchase/installation of required equipment
- Benefits that exceed costs

11

Agricultural Water Conservation Proposition 13 Loan Program

- Draft guidelines release August 2014
- Proposal Solicitation Package release in fall 2014

www.water.ca.gov/wateruseefficiency/finance/

12

Safe Drinking Water - Contaminant Removal Technologies



Eligible Applicants

- Public water systems
- Public entities

Eligible Projects

- Test new technologies for contaminant removal
- Disinfection using ultraviolet and ozone treatment

13

Safe Drinking Water - Contaminant Removal Technologies

Prop 50 Funding

- \$10 million
- 25% of funds for Disadvantaged Communities
- 50% cost share
- No match required for DACs or small water systems
- Grant cap of \$5 million

Continuous solicitation based on available funds

www.water.ca.gov/grantsloans/grants/prop50sdw.cfm

14

Safe Drinking Water Bond Law of 1988



Eligible Applicants

- Public and private water systems

Prop 81 Funding

- Approximately \$6 million
- Grants and loans available

15

Safe Drinking Water Bond Law of 1988



Eligible Projects

- Improve drinking water so it is pure, wholesome, and drinkable
- Leak detection
- Repair programs

16

Safe Drinking Water Bond Law of 1988

- Continuous solicitation based on available funds

Jeremy Callihan, Program Analyst
(916) 653-4763
Jeremy.Callihan@water.ca.gov

17

Delta and Sacramento/San Joaquin Rivers Water Quality Programs – Group II



Additional Eligible Applicants

- Other entities may collaborate with local agency

18

Delta and Sacramento/ San Joaquin Rivers Water Quality Program – Group II



Eligible Projects

- Reduce salinity or other pollutants
- Located at Delta agricultural and drinking water intakes
- Provide public benefit

19

Delta and Sacramento/ San Joaquin Rivers Water Quality Programs – Group II

Prop 84 Funding

- \$36.6 million available
- \$20 million per grant cap

Proposal Solicitation Package release in summer 2014

http://baydeltaoffice.water.ca.gov/sdb/prop84/index_prop84.cfm

20

Drainage Reuse Grant Program



Objectives

- Improve on-farm drainage management and reuse
- Harvest salts from drainage water

21

Drainage Reuse Grant Program

Eligible Projects

- Improve drainage management methods
- Enhance knowledge of drainage mitigation
- Examples:
 - Reuse drainage water
 - Drainage source reduction
 - Salt tolerant plant species
 - Market opportunities for harvested salts
 - Drainage treatment and salt separation technologies

22

Drainage Reuse Grant Program

Prop 204 Funding

- Approximately \$2.0 million
- \$300,000 maximum per project

Final Proposal Solicitation Package to be released in
summer 2014

www.water.ca.gov/drainage/prop204/proposls.cfm

23

San Joaquin River Water Quality Grant Program



Objectives

- Improvement/protection of drinking water supplies
- Reduce or eliminate discharges of subsurface agricultural drainage from the Westside of San Joaquin Valley

24

San Joaquin River Water Quality Grant Program



Eligible Projects

- Reduce/eliminate subsurface drainage to San Joaquin River
- Salinity reduction
- Collection, reuse, or treatment systems
- Best Management Practices
- Must improve water quality in San Joaquin River and legal Delta

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San Joaquin River Water Quality Grant Program

Prop 84 Funding

- Section 75029 (a)
- \$ 36.6 million available
- Per grant cap to be determined
- 25% cost share required

Draft Proposal Solicitation Package to be released in fall 2014

www.water.ca.gov/drainage

26

Urban Streams Restoration



Objectives

- Reduce flooding or erosion
- Improve ecological values of streams
- Promote stewardship

27

Urban Streams Restoration

Eligible Projects

- Creek cleanups
- Eradication of exotic or invasive plants
- Revegetation efforts
- Channel reconfiguration for natural functions
- Flood management parcel acquisition
- Coordination of community involvement



28

Urban Streams Restoration



Prop 84 and 13 Funding

- \$ 8 million available
- Grant cap of \$1 million

Eligible Applicants

- Local public agency with citizens group or non-profit

Proposal Solicitation Package release in fall 2014

www.water.ca.gov/urbanstreams/

29

Flood Corridor Program



Objectives

- Flood risk reduction through non-structural projects
- Habitat restoration/conservation and/or agricultural land preservation
- Restore natural floodplain processes

30

Flood Corridor Program



Eligible Projects

- Acquisition or easements
- Preserving agriculture
- Restoration of habitat

31

Flood Corridor Program

Additional Eligible Applicants

- Non-profits
- CA Native American Tribes

Funding

- Grant cap of \$5 million
- \$15 million available in Prop 1E, 13, and 84



Proposal Solicitation Package release in winter 2014

www.water.ca.gov/floodmgmt/fpo/sgb/fpcp

32

Flood Control Subventions Program



Eligible Projects

- Implementation of flood control projects
- Outside the Central Valley
- U.S. Army Corps of Engineers
- Natural Resources Conservation Service

33

Flood Control Subventions Program

Prop 84 & 1E Funding

- 50%-70% reimbursement
- Continuous solicitation



www.water.ca.gov/floodmgmt/fpo/sgb/fcs

34

Flood Emergency Response Program

Eligible Applicants

- Primary responsibility for flood emergency response and coordination



Future Solicitations

- Legal Delta - Proposition 1E
- Statewide - Proposition 84
- Previous solicitations were \$5 million each
- Competitive grants - Local match varies

35

Flood Emergency Response Program

Eligible Projects

- Local emergency plan
- Flood emergency planning & preparedness
- Communication & coordination response process
- Flood information exchange
- Emergency communication equipment



www.water.ca.gov/floodmgmt/hafoo/fob/floodER

36

Small Community Flood Risk Reduction Program



Eligible Projects

- Levee Repair
- Part of the State Plan of Flood Control
- In the Sacramento-San Joaquin Delta
- Protect Central Valley small communities

37

Small Community Flood Risk Reduction Program

Prop 1E Funding

- \$45 million available

Draft guidelines release in fall 2014

www.water.ca.gov/floodsafe/

38

Urban Flood Risk Reduction Program



Eligible Projects

- Levee Repair
- Levee Improvement
- Part of the State Plan of Flood Control
- In the Sacramento-San Joaquin Delta
- Protect a Central Valley urban area

39

Urban Flood Risk Reduction Program

Prop 1E Funding

- \$155 million available

Draft guidelines release in summer 2014

www.water.ca.gov/floodsafe/

40

Local Levee Assistance Program



Eligible Projects

- Local Levee Evaluations
- Local Levee Critical Repairs
- NOT part of the State Plan of Flood Control
- Outside the Sacramento-San Joaquin Delta
- Protect a non urban area

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Local Levee Assistance Program

Local Levee Evaluations

- State Cost-Share Cap: \$2 million per project
- Prop 84 funds
- Field surveys and geotechnical investigations
- Hydrology and hydraulic analyses
- Lab testing and feasibility studies
- Environmental permitting and CEQA compliance costs

42

Local Levee Assistance Program

Local Levee Critical Repair

- State Cost-Share Cap: \$5 million per project
- Prop 84 funds
- Design, improvement and repair of damaged levees
 - Independent Peer Review
 - Environmental permitting and CEQA compliance costs

Proposal Solicitation Package release in summer 2014

www.water.ca.gov/floodmgmt/fpo/sgb/llap

43

Delta Levees Program Special Flood Control Projects



Eligible Projects

- Flood protection improvement and habitat restoration projects in the Delta
 - Assembly Bill 360

44

Delta Levees Program Special Flood Control Projects

Prop 1E & 84 Funding

- \$75 million

Proposal Solicitation Package release in summer 2014

www.water.ca.gov/floodsafe/fessro/levees/special_projects/

45

Delta Levees Program Delta Levee Subventions



Eligible Projects

- Delta levee system maintenance projects
 - CALFED Record of Decision (2000)
 - Assembly Bill 360

46

Delta Levees Program Delta Levee Subventions

Prop 84 & 1E Funding

- Up to 75% State reimbursement for eligible costs
- Final Subventions claims due November 1, 2014

www.water.ca.gov/floodsafe/fessro/levees/subventions/

47

Desalination Grant Program



Eligible Projects

- Construction
- Pilot and demonstration
- Feasibility study
- Research

48

Desalination Grant Program

Additional Eligible Applicants

- California Native American Tribes
- Non-profit organizations
- Universities & colleges
- State agencies (except construction projects)
- Federal agencies (except constructions projects)

49

Desalination Grant Program

Proposition 50 Funding

- \$400,000 available
- Cost share is 50%

Application period fall 2014 – winter 2015

www.water.ca.gov/desalination/

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Additional Information

DWR Website

- www.water.ca.gov (Select Issues then All Funding Topics)

Bond Accountability Website

- www.bondaccountability.ca.gov

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Questions?

Leslie Pierce, Program Manager II

- (916) 651-9251
- Leslie.Pierce@water.ca.gov

Kristyne Van Skike, Research Program Specialist

- (916) 651-0728
- Kristyne.VanSkike@water.ca.gov



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WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
<p>Please Note: All Department of Water Resources (DWR) Funding Programs are listed at the end of the Water Programs table because their list of funding programs is substantially longer than those of the other agencies.</p>										
Infrastructure State Revolving Fund (ISRF) Program	California Infrastructure and Economic Development Bank (I-Bank)	Loan	Provide financing for construction and/or repair of publicly owned water supply, treatment and distribution systems, and drainage, and flood control facilities	<p>Applicant may be any subdivision of a local or state government.</p> <p>Applicant may also be a company, corporation, association, partnership, firm, or other entity or group of entities organized as a public benefit not-for-profit entity engaged in business or operations within the state. Certain projects may require such entities to apply in conjunction with a Sponsor.</p> <p>Project must promote economic development and attracts, creates, and sustains long-term employment opportunities.</p>	N/A	<p>Construct and/or repair water collection, supply, and treatment systems, including equipment</p> <p>Acquire land in conjunction with such project</p>	<p>Subject to review:</p> <ul style="list-style-type: none"> · Privately owned infrastructure Debt refinancing 	\$50,000 to \$25 million	<p>The interest rate benchmark is Thompson's Municipal Market Data Index.</p> <p>Staff may adjust the interest rate based upon factors that include:</p> <ul style="list-style-type: none"> · Unemployment, · Medium Household Income, · Environmental, · Other special circumstances <p>The I-Bank Board has final approval of the interest rate.</p> <p>Maximum 30 year term</p> <p>Open application process</p>	<p>Ruben Rojas (916) 327-2029</p> <p>Diane Cummings (916) 324-4805</p>
<p>Webpage: http://ibank.ca.gov/infrastructure_loans.htm</p>										

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Community Development Block Grant (CDBG) Program	State Department of Housing and Community Development	Grants to City and County Jurisdictions	<p>Project must principally benefit low income persons/households.</p> <p>For example: do water system upgrades for residents of communities with over 51% of its residents being low income or extend water service to a site for a business that creates jobs for low income persons</p>	<p>Cities or counties that are not under HUD's CDBG entitlement program</p> <p>Jurisdictions can pay for improvements to their own system or give the funds to private or public water providers</p>	NEPA/CEQA	<p>Pay for project feasibility study, final plans and specs, site acquisition and construction, and grant administration costs</p> <p>Pay for repair or new construction of town's water tank</p> <p>Pay for one-time assessment fees for low income families</p> <p>Pay for installation of private laterals and hook up fees for low income families under our <u>Housing Rehabilitation</u> activity</p>	<p>Maintenance costs</p> <p>Refinancing of existing debt</p> <p>Inquire regarding special restrictions for Native American and Colonia funding</p>	<p>Each CDBG Allocation sets funding award limits</p> <p>In their annual NOFA (Typically up to \$1,500,000)</p> <p>Six Types of Activities: 1-Community Development, 2-Economic Development Enterprise Fund, 3-Economic Development Over the Counter, 4- Native American, 5-Colonia and 6-Planning and Technical Assistance</p>	<p>Notices of Funding Availability (NOFAs) scheduled for release in January each year</p> <p>Jurisdiction sets type of financing and terms (grants vs. loans)</p>	<p>Thomas Brandeberry (916) 263-1328</p> <p>thomas.brandeberry@hcd.ca.gov</p>

Webpage: <http://www.hcd.ca.gov/fa/cdbg/index.html>

Cleanup and Abatement Account (CAA) \$2 million for interim replacement drinking water	State Water Resources Control Board	Grant	Provide interim emergency drinking water to disadvantaged communities with a contaminated water supply	Public Agencies Not-for-Profit Organizations Tribal Governments	CEQA	<p>Water supply must be impacted by anthropogenic (man-made) waste</p> <ul style="list-style-type: none"> - Bottled Water - Vending Machines - Point of Use Devices - Hauled Water - Wellhead Treatment - Planning 		There are no minimum or maximum specified funding limits. Although the total funding shall not exceed \$2 million	Continuous	Conny Mitterhofer (916) 341-5720
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Webpages: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/caa/

Cleanup and Abatement Account (CAA) \$4 million for interim replacement drinking water	State Water Resources Control Board	Grant	Provide interim emergency drinking water to disadvantaged communities with a contaminated water supply exacerbated by drought conditions	Public Agencies Not-for-Profit Water Districts Not-for-Profit Organizations Tribal Governments	CEQA	<p>Water supply must be contaminated</p> <ul style="list-style-type: none"> - Bottled Water - Vending Machines - Point of Use Devices - Hauled Water - Wellhead Treatment - Planning 		There are no minimum or maximum specified funding limits. Although the total funding shall not exceed \$4 million	Continuous; funds are available through June 30, 2015 (to be encumbered)	Conny Mitterhofer (916) 341-5720
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Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/caa/dw_droughtfund/index.shtml

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Clean Water State Revolving Fund Program, Expanded Use	State Water Resources Control Board	Financing	Provide funding for nonpoint source and estuary projects	Public Agencies and nonprofit organizations	CEQA +	Stormwater treatment and diversion, sediment and erosion control, stream restoration, land acquisition, septic system replacement, agricultural drainage	Operations and maintenance costs, legal fees.	None	Interest rate is one-half general obligation bond rate. Repayment term of 30 year.	Conny Mitterhofer 916-341-5720
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/srf/index.shtml										
Clean Beaches Initiative (CBI) Grant Program	State Water Resources Control Board	Grant	Projects that restore and protect water quality of coastal waters, estuaries, bays, and near shore waters, with an emphasis on projects that reduce bacterial contamination on public beaches.	Public Agencies Local Agencies Non-profits Indian Tribes	CEQA	Planning and implementation projects meeting CBI priorities	Operation and maintenance activities	\$150K to \$5M Requires match (variable based on project or if benefits a disadvantaged community).	Continuous funding cycle, with intermittent closures to review proposals, until funds are exhausted. Applications through FFAST.	Patricia Leary (916) 341-5167
Webpage: http://www.waterboards.ca.gov/water_issues/programs/beaches/cbi_projects/index.shtml										
319(h) Non-point Source Grant Program	State Water Resources Control Board	Grant	Projects to control non-point source pollution consistent with Total Maximum Daily Loads, or those under development	Public Agencies Local Agencies Non-profits Indian Tribes	CEQA	Planning and implementation projects meeting Regional Water Quality Control Board preferences	Operation and maintenance activities Projects that include activities required under a National Pollutant Discharge Elimination System (NPDES) permit	\$4.5 million total, with \$75K to \$125 K per planning project, and \$250K to \$750K per implementation project. Requires 25% match unless disadvantaged.	Annual solicitation, with applications through FFAST.	Patricia Leary (916) 341-5167
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/319h/index.shtml										
Areas of Special Biological Significance (ASBS) Grant Program	State Water Resources Control Board	Grant	Projects to assist Dischargers to ASBS's to comply with the Special Protections in the Ocean Plan	various	CEQA	Implementation Projects (Revised Guidelines under development)	Operation and maintenance activities	TBD	TBD (anticipated for summer 2014)	Patricia Leary (916) 341-5167
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/asbs/index.shtml										

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Drought Response Outreach Program for Schools* (DROPS) *Guidelines under development, expected adoption August, 2014	State Water Resources Control Board	Grant	Projects that reduce stormwater pollution and provide multiple benefits including water conservation, water supply augmentation, energy savings, increased awareness of water resource sustainability, and reduced dry weather runoff.	Anticipated eligible applicants include: K-12 School Districts, County Offices of Education, Federally Recognized Tribes, Charter Schools on Publicly Owned Property	CEQA	Implementation Projects	Operation and maintenance activities	Anticipated limits range between \$50,000 and \$2,500,000 depending on number of students served by applicant.	Anticipated solicitation September 2014 to January 2015.	Leslie Laudon (916) 341-5499

Webpage: <http://www.waterboards.ca.gov/drops>

Safe Drinking Water State Revolving Fund (SDWSRF)	State Water Resources Control Board (Previously administered by California Department Of Public Health)	Loans Grants	Provide low interest loans or grants to assist public water systems in achieving or maintaining compliance with the Safe Drinking Water Act (SDWA)	Must be a public water system Project must be needed to comply with the SDWA Project must be on project priority list System must meet technical, managerial, and financial requirements All applications are for loans; financial review determines if grant funds apply	Some projects CEQA/NEPA	Water treatment facilities, replace aging infrastructure, planning studies, consolidation of water systems, source water protection, etc.	Dams or rehab of dams, O&M costs, lab fees for monitoring, projects mainly for fire protection or future growth, etc.	\$500,000 per planning study \$20 million per project and \$30 million per entity per cap grant Call program for grant limitations	Pre-application Invited annually Loan: Interest rate is ½ the general obligation rate 2014 program rate is 2.085%, paid back over 20 years. The rate changes every January Disadvantaged system may obtain a zero interest loan Disadvantaged public and mutual systems may receive partial grant funding	Technical Contact: Dat Tran (916)449-5644 Financial Contact: Joshua Ziese (916) 445-9501
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Webpage: <http://www.cdph.ca.gov/services/funding/Pages/SRF.aspx>

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
WaterSMART: Water and Energy Efficiency Grants	US Bureau of Reclamation	Grant	The objective of this Funding Opportunity Announcement (FOA) is to invite States, Indian Tribes, irrigation districts, water districts and other organizations with water or power delivery authority to leverage their money and resources by cost sharing with Reclamation on projects that seek to conserve and use water more efficiently, increase the use of renewable energy and improve energy efficiency, benefit endangered and threatened species, facilitate water markets, or carry out other activities to address climate-related impacts on water or prevent any water-related crisis or conflict. Water conservation, use of water markets, and improved efficiency are crucial elements of any plan to address western U.S. water issues. With leveraged water and energy efficiency grants, an important step will be taken towards increasing conservation for a more efficient use of water in the West.	Under P.L. 111-11, Section 9502, an eligible applicant is a State, Indian tribe, irrigation district, water district, or other organization with water or power delivery authority located in the western United States or United States Territories as identified in the Reclamation Act of June 17, 1902, as amended. Applicants must be willing to cost share 50 percent or more of the total project costs.	NEPA	Projects should seek to conserve and use water more efficiently, increase the use of renewable energy, protect endangered and threatened species, facilitate water markets, or carry out other activities to address climate-related impacts on water or prevent any water-related crisis or conflict.	Projects that are considered normal operations, maintenance, and replacement (OM&R) are not eligible. OM&R is described as system improvements that replace or repair existing infrastructure or function without providing increased efficiency or effectiveness of water distribution over the expected life of the improvement. Any projects or project elements that are part of a congressionally authorized Title XVI project of Public Law 102-575, as amended (43 U.S.C. 390h et seq.), are not eligible for funding under this FOA. A project that proposes using Federal funding for purchasing water is not eligible under this FOA. A project that proposes to construct a building is not eligible for Federal funding under this FOA (e.g., a building to house administrative staff or to promote public awareness of water conservation).	Funding will be awarded at one of two levels: Funding Group I: Up to \$300,000 per agreement for a project up to 2 years. Funding Group II: Up to \$1,500,000 for an agreement for up to 3 years for a small number of projects.	FOA expected on grants.gov in the Fall.	Dean Marrone (303) 445-3577

Webpage: <http://www.usbr.gov/WaterSMART>

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
WaterSMART: Advanced Water Treatment Pilot and Demonstration Projects	US Bureau of Reclamation	Grant	The objective of this FOA is to invite States, Indian Tribes, irrigation districts, water districts, and other organizations with water or power delivery authority to leverage their money and resources by cost sharing with Reclamation on pilot and demonstration projects to accelerate the adoption and use of AWT technologies in order to increase water supply and provide for long term water sustainability. Projects funded under this FOA will include pilot and demonstration projects that will address the technical, economic, and environmental viability of treating and using brackish groundwater, seawater, impaired waters, or otherwise creating new water supplies within a specific locale	Under P.L. 111-11, Section 9502, an eligible applicant is a State, Indian tribe, irrigation district, water district, or other organization with water or power delivery authority located in the western United States or United States Territories as identified in the Reclamation Act of June 17, 1902, as amended. Applicants must be willing to cost share 50 percent or more of the total project costs.	NEPA	Emphasis will be directed toward applications for pilot and demonstration projects that address the technical and economic viability of treating and using brackish groundwater, seawater, impaired waters, or otherwise creating new water supplies within a specific locale.	Funding is not available for the construction of a full-scale plant under this opportunity. Projects that are considered normal operations, maintenance, and replacement (OM&R) are not eligible. OM&R is described as system improvements that replace or repair existing infrastructure or function without providing increased efficiency or effectiveness of water distribution over the expected life of the improvement. Any projects or project elements that are part of a congressionally authorized Title XVI project of Public Law 102-575, as amended (43 U.S.C. 390h et seq.), are not eligible for funding under this FOA.	Applicants may seek project awards of up to \$600,000 per agreement.	FOA expected on grants.gov in the Fall.	Dean Marrone (303) 445-3577

Webpage: <http://www.usbr.gov/WaterSMART>

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
WaterSMART: Grants to Develop Climate Analysis Tools	US Bureau of Reclamation	Grant	The objective of this Funding Opportunity Announcement (FOA) is to invite universities, non-profit research institutions, and organizations with water or power delivery authority to leverage their money and resources by cost sharing with Reclamation on activities designed to enhance the management of water resources, including developing tools to assess the impacts of climate change on water resources.	In accordance with P.L. 111-11, Section 9504(b)(1) of the Secure Water Act, eligible applicants include any university located in the United States; non-profit research institution located in the United States; or organization with water or power delivery authority located in the western United States or Territories (as identified in the Reclamation Act of June 17, 1902, as amended and supplemented. Applicants must be willing to cost share 50 percent or more of the total project costs	NEPA	Projects should seek to develop knowledge, information, and tools that will lead to enhanced long-term water resources planning in the Western United States with respect to future climate. Proposed projects are expected to deliver new capabilities that address information gaps detailed in the joint Reclamation and United States Army Corps of Engineers (USACE) Report titled <i>Addressing Climate Change in Long-Term Water Resources Planning and Management: User Needs for Improving Tools and Information</i> , Section 3, Table 1.		Applicants may seek project awards of up to \$200,000 per agreement.	FOA expected on grants.gov in the Winter.	Dean Marrone (303) 445-3577

Webpage: <http://www.usbr.gov/WaterSMART>

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
WaterSMART: System Optimization Review Grants	US Bureau of Reclamation	Grant	<p>The objective of this Funding Opportunity Announcement (FOA) is to invite States, Indian tribes, irrigation districts, water districts, and other organizations with water or power delivery authority to leverage their money and resources by cost sharing with Reclamation to assess the potential for water management improvements and identify specific ways to implement those improvements.</p> <p>System Operation Reviews (SOR) consist of:</p> <p>(1) An assessment of the potential for water management improvements in a system or district <u>and</u></p> <p>(2) A report identifying specific improvements to increase efficiency, including a plan of action for implementing the recommendations</p>	<p>Under P.L. 111-11, Section 9502, an eligible applicant is a State, Indian tribe, irrigation district, water district, or other organization with water or power delivery authority located in the western United States or United States Territories as identified in the Reclamation Act of June 17, 1902, as amended.</p> <p>Applicants must be willing to cost share 50 percent or more of the total project costs</p>	NEPA	<p>Grants will be awarded to SOR projects that can produce a completed final report, including plans of action to secure water through water conservation, efficiency, and markets</p> <p>SORs are intended to focus on improving efficiency throughout a system, district, river basin, or a portion thereof—not on a single structure such as improving one headgate or lining one ditch. SORs are intended to take a broad look at system-wide efficiency, and they are not focused on single project-specific planning.</p>	<p>SOR funding may not be applied to the cost of design, engineering, or construction of a particular recommended project. Funding for the design, engineering, or construction of a project may be available under a separate FOA for Water and Energy Efficiency grants.</p>	<p>Applicants may seek project awards of up to \$300,000 per agreement.</p>	<p>FOA expected on grants.gov in the Fall.</p>	<p>Dean Marrone (303) 445-3577</p>

Webpage: <http://www.usbr.gov/WaterSMART>

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Bay Delta Restoration Water Use Efficiency (BDWUE) Grants: Agricultural Water Conservation and Efficiency Grants	US Bureau of Reclamation /NRCS	Grant	Program focused on the restoration of the Delta's fragile ecosystem while improving water supply reliability for agricultural water users. Accelerate the implementation of cost-effective actions that provide state-wide benefits through water conservation.	In accordance with Public Law 111-11, any eligible applicants are State, Indian tribe, irrigation district, water districts, or other organization with water or power delivery authority. Applicants must also be located in the CALFED solution area as identified in the 1999 CALFED Programmatic Environmental Impact Statement/ Environmental Impact Report.	NEPA	Projects should seek to conserve and use water more efficiently, and result in quantifiable and sustained water savings or improve water management.	Projects that are considered normal operations, maintenance, and replacement (OM&R) are not eligible. Any projects or project elements that are part of a Congressionally authorized Title XVI Water Recycling and Reuse project of Public Law 102-575, as amended (43 U.S.C. 390h et seq.), are not eligible for funding under this program.	Reclamation's share of any one proposed project shall not exceed \$300,000 or 50 percent of the total project costs. Multiple applications from one entity for different projects may be submitted for consideration under this FOA. In addition, applicants may apply for funding of projects eligible under the other BDRWUE FOA. <i>However, no more than \$1,000,000 in FY 2012 BDRWUE Grant funding will be awarded to any one entity.</i>	FOA expected on grants.gov in the Fall.	Gene Lee (916) 978-5219

Webpage: www.usbr.gov/mp/watershare/index.html

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Bay Delta Restoration Water Use Efficiency (BDWUE) Grants: CALFED Water Use Efficiency Grant Program	US Bureau of Reclamation	Grant	The objective of the CALFED grant program is to promote the goals/objectives and missions of CALFED. These goals (objectives) include but are not limited to: Goal 1: Reduce existing irrecoverable losses, increasing the overall volume of available water; Goal 2: Achieve multiple state-wide benefits; Goal 3: Preserve local flexibility; and Goal 4: Build on existing water use efficiency programs.	In accordance with Public Law 111-11, any eligible applicants are State, Indian tribe, irrigation district, water districts, or other organization with water or power delivery authority. Applicants must also be located in the CALFED solution area as identified in the 1999 CALFED Programmatic Environmental Impact Statement/ Environmental Impact Report.	NEPA	The CALFED Water Use Efficiency Grant Program will fund urban and agricultural projects. Proposals may include any type of implementation, demonstration, or pilot water use efficiency or conservation projects that have benefits to the California Bay-Delta.	Projects that only consist of research or feasibility studies, planning or education will not be funded. Water recycling projects are not eligible for funding under this program.	Reclamation's share of any one proposed project shall not exceed \$500,000 or 50 percent of the total project costs. Multiple applications from one entity for different projects may be submitted for consideration under this FOA. In addition, applicants may apply for funding of projects eligible under the other BDRWUE FOA. <i>However, no more than \$1,000,000 in FY 2012 BDRWUE Grant funding will be awarded to any one entity.</i>	FOA expected on grants.gov in the Fall.	Angela Anderson (916) 978-5215

Webpage: www.usbr.gov/mp/watershare/index.html

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
WaterSMART: Cooperative Water Management Program (CWMP) Grants	US Bureau of Reclamation	Grant	The purpose is to improve water quality and ecological resilience and to reduce conflicts over water through collaborative conservation efforts in the management of local watersheds. The primary goal is to address two major concerns synonymous with watershed groups – 1) the need for funding to pay the salary of a full-time coordinator and 2) the limited funding available for project management.	Please visit the following website for more information: http://www.usbr.gov/WaterSMART/cwmp/	NEPA	The CWMP will provide financial assistance to form new watershed groups, to expand existing watershed groups, and/or to conduct one or more projects in accordance with the goals of watershed groups. Priority may be given to watershed groups that represent a maximum diversity of interests, serve sub basin-sized watersheds with an 8-digit hydrological unit code, as defined by USGS.	Please visit the following website for evaluation criteria: http://www.usbr.gov/WaterSMART/cwmp/docs/CWMPEvaluationCriteria.pdf	Phase I funds shall be used to establish or enlarge a watershed group, to develop a mission statement for the watershed group, to develop project concepts, and to develop a restoration plan. Phase II funds shall be used to plan and carry out watershed management projects. Phase III funds shall be used to plan and carry out at least one watershed management project.	\$100,000 to first-phase grant recipients for a period of not more than 3 years. The Federal share of expenditures accrued in first phase grant activities shall be funded 100%. Phase II and III grants shall not exceed 50% of the total cost of the activities.	Lee Mao (916) 978-5202
Webpage: http://www.usbr.gov/WaterSMART/cwmp/										
Water and Waste Disposal program	USDA Rural Development	Loan/Grant	Provide loans and grants to develop and rehabilitate community water systems	Public bodies, Tribes, Nonprofits, Cities, Towns and census designated places with populations less than 10,000	NEPA/CEQA	Funds may be used for costs associated with planning, design, and construction of new or existing systems Eligible projects include storage, distribution, source development	Facilities not modest in size, design, and cost For profit systems	None, but average project size is \$3-5 million	Loans: 2-4% fixed, 40 years Grant funding available to reduce user costs Continuous filing	Dave Hartwell USDA State Office (530) 792-5817
Water and Waste Disposal Colonias Grant	USDA Rural Development	Grant	Help especially needy communities within 150 miles of the Mexico Border. Pay for all or part of the costs to provide safe drinking water facilities for the residents	Designated "Colonias" within 150 miles of US- Mexico Border	NEPA/CEQA	Residents to be served must face significant health risks due to the fact that a significant proportion of the community's residents do not have access to, or are not served by, adequate, affordable, water disposal systems	Facilities not modest in size, design, and cost For profit systems	Average project grant \$1 million	100% grant, subject to availability Continuous filing	Dave Hartwell USDA State Office (530) 792-5817

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Water and Waste Disposal	USDA Rural Development	USDA guarantees loans made by banks	Provide additional security for commercial lenders that finance community water, systems	Banks and other commercial lenders are eligible applicants Cities, Towns, Public bodies ,census designated place, with populations less than 10,000	NEPA/CEQA	Funds may be for costs associated with Planning, design, and construction of new or existing systems Eligible projects include water, storage, distribution, and source development	Facilities not modest in size, design, and cost Privately owned infrastructure	None	Negotiated between business and lender Fixed and variable rates allowed Continuous filing	Dave Hartwell USDA State Office (530) 792-5817

Webpage: <http://www.rurdev.usda.gov/ca>

Emergency Community Water Assistance Grant	USDA – Rural Development	Grant	Help eligible rural communities recover from an emergency that result in a decline in capacity to provide safe, reliable drinking water for households and businesses.	Rural areas with populations less than 10,000 population.	NEPA	Construction of new water source, intake,treatment facility,waterline extensions.	Repairs to infrastructure that was not damaged by an emergency.	\$500,000	Funds need to be obligated by June 30, 2014.	North State Dave Hartwell (530) 792-5817 South State Pete Yribarren (559)734-8732 ext. 108
Rural Energy for America Program	USDA – Rural Development	Grants and loan guarantees	To provide assistance for energy audits and renewable energy development	Must be either an agriculture producer or rural small business. Units of State, tribal or local government; land-grant colleges, universities.	NEPA	Commercially available technologies. Limited to: Bio-energy from Biomass, including anaerobic digester; Geothermal, elect generation, Hydrogen, Solar, photovoltaic and thermal; Wind; Micro-hydro; Ocean; E85 and Biodiesel Blender Pumps.	Research and Development, demonstration projects, provision of power to residents.	Renewable Energy Systems (RES) and Energy Efficiency Improvement (EEI) grants cover 25% of project cost, not to exceed \$500,000 for RES and \$250,000 for EEI projects. Loan Guarantees up to \$25 million. Feasibility Study grants up to \$50,000. EA/REDA grants max \$100,000.	RES, EEI and feasibility study Grants require 75% match. Loan Guarantee between 60 and 80% of loan.	Steven Nichols (530) 792-5805

Webpage: http://www.rurdev.usda.gov/BCP_ReapEaReda.html

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Integrated Regional Water Management (IRWM) Drought Program Proposition 84 (Chapter 2, §75026)	Department of Water Resources	Grant	Award funds for implementation of projects consistent with IRWM Plans to assist local public agencies in meeting long-term water management needs of the State, including the delivery of safe drinking water, flood risk reduction, and protection of water quality and the environment.	Applicant must be a local public agency or nonprofit representing an accepted IRWM Region. Other IRWM partners may access funds through their own agreements with the applicant/grantee	CEQA	Projects that implement IRWM plans, projects and programs that: <ul style="list-style-type: none"> • Provide immediate regional drought preparedness • Increase local water supply reliability and the delivery of safe drinking water • Assist water suppliers and regions to implement conservation programs and measures that are not locally cost-effective • Reduce water quality conflicts or ecosystem conflicts created by the drought. 	Operation and maintenance activities	Bond funding allocation for entire program is \$1 billion. Prop 84 allots grant funding to 11 funding areas. IRWM Guidelines contain information on how potential funding of multiple IRWM efforts within a funding area will occur and maximum grant amount per funding area. Each Proposal Solicitation Package will have specified amount of funds available.	On June 2, 2014, DWR released 2014 IRWM Drought Program Guidelines and Grant Proposal Solicitation Package. As directed by the Governor and Legislature, this expedited and streamlined drought grant solicitation is administered for \$200 million. Applications are due to DWR by July 21, 2014.	Zaffar Eusuff (916) 651-9266

Webpage: www.water.ca.gov/irwm/grants/

Integrated Regional Water Management (IRWM) Water-Energy Grant Program Greenhouse Gas Reduction Fund	Department of Water Resources	Grant	The Water-Energy Grant Program provides funds to implement water efficiency programs or projects that reduce greenhouse gas emissions, and reduce water and energy use.	Local agencies, Joint powers authorities, Nonprofit organizations	CEQA	Residential, commercial, or institutional water efficiency programs or projects Programs or projects must do all of the following <ul style="list-style-type: none"> • Reduce greenhouse gas emissions • Reduce water use • Reduce energy use 	Agricultural or industrial programs or projects	\$19 million has been assigned from the Greenhouse Gas Reduction Fund. The Water-Energy Grant Program seeks programs or projects that reduce greenhouse gas emissions, and reduce water and energy use.	Draft Guidelines and Proposal Solicitation Package released July 1, 2014. Release of Final Proposal Solicitation Package anticipated in October 2014 with applications due in December 2014	Laura Peters (916) 653-7912
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Webpage: www.water.ca.gov/waterenergygrant/index.cfm

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Agricultural Water Conservation Proposition 13 Loan Program	Department of Water Resources	Loan	This loan program will fund feasible, cost effective, construction projects that improve agricultural water use efficiency.	Eligible applicants are: local public agencies (cities, counties, cities and counties, joint powers authorities, or other political subdivisions of the State), or incorporated mutual water companies involved with water management.	CEQA	Feasible, cost effective, construction projects that improve agricultural water use efficiency including but not limited to: construction projects or other capital outlays, purchase/installation of equipment integral to a given project. All projects must increase water use efficiency and have benefits that exceed their costs.	O&M equipment, wellhead rehabilitation, expanded tank storage, water supply, water treatment, water recycling, wastewater treatment, flood control, conjunctive use, and groundwater banking projects.	A total of \$32 million is available for Agricultural Water Conservation Proposition 13 Loan Program with up to \$5 million for each project.	Guidelines and Application are expected fall 2014. Check the webpage for updated information and loan application.	Fethi Benjemaa (916) 651-7025
Webpage: www.water.ca.gov/wateruseefficiency/finance/										
Safe Drinking Water – Contaminant Removal Technologies Ultraviolet and Ozone Treatment Proposition 50 (Chapter 6 Section 79545(c))	Department of Water Resources	Grant	Drinking water disinfecting projects using Ultra Violet technology and ozone treatment	Eligible applicants are public water systems and California Native American Tribes under the regulatory jurisdiction of CDPH and other public entities	CEQA	Systems that have a maximum contaminant level compliance violation, surface water treatment microbial requirements, or mandatory disinfection required by the CDPH or local agency Systems must demonstrate the ability to operate and maintain treatment facility Ozone projects must be designed to minimize residual byproducts	Projects that do not meet technical, managerial, and financial capacity requirements.	Up to \$5 million per grant Non-State cost share required Designated funds are being held for disadvantaged communities No match required for disadvantaged communities or small water systems	Continuous solicitation	Steve Giambrone (916) 653-9722
Webpage: www.water.ca.gov/grantsloans/grants/prop50sdw.cfm										

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Safe Drinking Water – Contaminant Removal Technologies Pilot and Demonstration Projects Proposition 50 (Chapter 6 Section 79545(b))	Department of Water Resources	Grant	Pilot and Demonstration Projects for Contaminant Removal Technologies	Eligible applicants are public water systems and California Native American Tribes under the regulatory jurisdiction of CDPH and other public entities	CEQA	Pilot and demonstration projects for contaminants such as: • Petroleum products, • Nitrosodimethylamine, • Perchlorate, • Radionuclides, • Pesticides, • Herbicides, • Heavy metals, • Pharmaceuticals, and • Endocrine disruptors	A Proven/Existing contaminant removal technology method. (Studies must use <u>new technologies</u>) Grant funds cannot be used for the operation and maintenance after pilot study is complete.	Up to \$5 million per grant Non-State cost share required. Designated funds are being held for disadvantaged communities No match required for disadvantaged communities or small water systems (public water system serving less than or equal to 1,000 service connections or less than or equal to 3,300 population)	Continuous solicitation	Steve Giambrone (916) 653-9722

Webpage: www.water.ca.gov/grantsloans/grants/prop50sdw.cfm

Safe Drinking Water Bond Law of 1988 Proposition 81	Department of Water Resources	Grant/Loan	Projects that help meet the Safe Drinking Water Standards.	Private Systems: Any person, partnership, corporation, association, California Native American Tribe, or other entity or political subdivision of the state which owns or operates a domestic water system. Public Agencies: Any city, county, city and county, district, joint powers authority, or other political subdivision of the state which owns or operates a domestic water system.		Planning projects that investigate and identify alternatives for system improvements. Eligible projects will be those which improve the applicant's drinking water to make sure it is pure, wholesome, and drinkable. These improvements may include, but need not be limited to, leak detection and repair programs	Please contact program staff for project eligibility.	Please contact program staff for funding limits.	Ongoing application cycle	Linda Ng (916) 653-9634 Jeremy Callihan (916) 653-4763
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Webpage: Contact staff directly

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Delta and San Joaquin and Sacramento Rivers Water Quality Grant Program Proposition 84	Department of Water Resources	Grant	Water quality improvement projects in the Legal Delta (also called Group II projects)	Local agencies Other entities, including universities and non-profit organizations, may collaborate with a local agency to perform work with the grant funds	CEQA	Eligible projects include those at Franks Tract and other Delta projects that reduce salinity or other pollutants at agricultural and drinking water intakes.	Projects that do not show direct protection of drinking water supplies.	\$20 million	\$36.6 million available. Final Guidelines and first proposal solicitation package released in July, 2010. Proposal solicitation package anticipated August 2014.	Genevieve Schrader (916) 653-2118

Webpage: www.baydeltaoffice.water.ca.gov/sdb/prop84/index_prop84.cfm

Drainage Reuse Grant Program Proposition 204	Department of Water Resources	Grant	Improve on-farm drainage management and reuse techniques, and develop technologies to feasibly and economically harvest salts from drainage water	Local agencies including any city, county, district, joint powers authority, or other political subdivision of the state (including public universities) involved with water management.	CEQA	Research and technical study projects that improve drainage management methods and enhance knowledge of drainage mitigation opportunities. Example projects include the following that develop: methods to reuse drainage; drainage source reduction opportunities; salt-tolerant plant usage; market opportunities for harvested salts; and develop drainage treatment and salt separation/utilization technologies		\$300,000 per eligible project	\$2 million available Guidelines and Proposal Solicitation Package will be released in summer 2014. Applications due fall 2014.	Jose Faria (559) 230-3339
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Webpage: www.water.ca.gov/drainage/prop204/propsls.cfm

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
San Joaquin River Water Quality Grant Program Proposition 84 Chapter 2. Section 75029 (a)	Department of Water Resources	Grant	Provide improvement/protection of drinking water supplies Group III: Reduce or eliminate discharges of subsurface agricultural drainage water from the west side of the San Joaquin Valley	Local agencies Other entities, including universities, non-profits, and state and federal agencies may collaborate with a local agency to perform work with the grant funds. Geographic: Projects that improve water quality of the San Joaquin River and the Delta	CEQA	Projects that reduce or eliminate discharges of subsurface agricultural drainage water from the West side San Joaquin Valley. Collection, reuse, treatment, or best management practice projects that reduce or eliminate drainage to San Joaquin River from west side irrigators.	Projects that do not directly protect drinking water supplies or that do not meet geographic requirements.	Maximum grant amount to be determined 25% minimum cost share required; may include federal, state, or local funds, or donated services.	\$36.6 million available Updated draft Guidelines and Proposal Solicitation Package for Group III anticipated fall 2014.	Jose Faria (559) 230-3339

Webpage: www.water.ca.gov/drainage/

Urban Streams Restoration Program Proposition 84 & Proposition 13	Department of Water Resources	Grant	Reduce urban flooding and erosion, restore environmental values, and promote stewardship of urban streams	Local government agencies and citizens groups/nonprofits (together) California Native American Tribes may apply for grant funding if they are registered as a non-profit organization, or they can partner with a local agency or nonprofit.	CEQA	Examples include creek cleanups; eradication of exotic or invasive plants; revegetation efforts; bioengineering bank stabilization projects; channel reconfiguration to improve stream geomorphology and aquatic habitat functions; acquisition of parcels critical for flood management; and coordination of community involvement in projects.	Include, but not limited to, exclusively educational or fish and wildlife enhancement projects; lake or reservoir enhancements; planning only projects; and mitigation for development or other projects	\$1 million per eligible project	Next grant application solicitation anticipated in fall 2014.	Amy Young (916) 651-9626
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Webpage: www.water.ca.gov/urbanstreams/

Flood Corridor Program Propositions 1E, 84 and 13	Department of Water Resources	Grant	Flood risk reduction through non-structural projects that include wildlife habitat enhancement and/or agricultural land preservation components	Local public agencies or nonprofit/citizens groups California Native American Tribes are eligible if registered as non-profit or if partnering with local public agencies or nonprofit/citizens groups.	CEQA	Funding acquisition of real property or easements in a floodplain from willing sellers; preserving or enhancing flood-compatible agricultural use; restoration of habitat compatible with seasonal flooding; and related activities	Flood protection projects that do not include wildlife habitat enhancement or agricultural land preservation benefits	\$5 million per eligible project. 10% non-State, non-federal cost share required; may be reduced to 5% or no-cost share if serving disadvantaged or severely disadvantaged community	New solicitation package will be released July – October 2014.	David Wright (916) 574-1191
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Webpage: www.water.ca.gov/floodmgmt/fpo/sgb/fpcp/

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Flood Control Subventions Program Propositions 1E and 84	Department of Water Resources	Grant (Claims Reimb.)	Implementation of federally-authorized flood control projects (minor or major) and Watershed Protection Flood Prevention Projects	Local public agencies	CEQA/NEPA	Major flood control projects authorized by Congress; small flood control projects authorized by PL 80-858 and the U.S. Army Chief of Engineers; and watershed protection projects, which include projects authorized by the Administrator of the Natural Resources Conservation Service	Flood control projects without federal authorization	Variable state cost-share percentage based on multi-purpose objectives for projects, ranging from a minimum of 50% to a maximum of 70%	Claim submittals accepted on continuous basis Claims paid based on available State funding	Nahideh Madankar (916) 574-1459

Webpage: www.water.ca.gov/floodsafe/

Flood Emergency Response Program Propositions 1E and 84	Department of Water Resources	Grant	To improve local flood emergency response	Public agencies with primary responsibility for Flood Emergency Response & Coordination, Counties & Cities, Reclamation Districts, Flood Control Districts, Local Maintaining Agencies California Native American Tribes are eligible if they meet the above requirements.	Some projects may need CEQA	Preparing or updating local emergency plan; Coordinating flood emergency planning and preparedness (including training & exercise); Developing communication & coordination response process; Collecting & exchange of flood information; Purchase & installing equipment for interoperable emergency communication; See guidelines for complete list	Projects not included in guidelines.	\$5 million for Delta for Prop 1E. \$5 million for Statewide (outside Delta) for Prop 84.	Two solicitations based on geography: 1) Statewide (Outside legal Delta -Proposition 84) and 2) Legal Delta (Proposition 1E) Competitive grants with no local match.	Pat Clark (916) 574-1249
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Webpage: www.water.ca.gov/floodmgmt/hafoo/fob/floodER

Small Community Flood Risk Reduction Program Proposition 1E	Department of Water Resources	Bond Financing	Levee repair projects to achieve 100-year flood protection for small communities	Eligible applicants are local public agencies or a Joint Powers Authority with the authority to implement flood management projects.	CEQA	Project must be 1) part of the State Plan of Flood Control, 2) located in the Sacramento-San Joaquin Delta and 3) protecting a small community (pop. < 10,000) in Central Valley	Projects that are not part of the State Plan of Flood Control and are not in the Sacramento-San Joaquin Delta.	Approx. \$45 million available	Guidelines due fall 2014. Check website for State Plan of Flood Control information http://www.water.ca.gov/cvfm/docs/SPFCDescriptiveDocumentNov2010.pdf	Constantin Mercea (916) 574-1429
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Webpage: www.water.ca.gov/floodsafe/

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Urban Flood Risk Reduction Program Proposition 1E	Department of Water Resources	Bond Financing	Levee repair projects and levee improvement projects to achieve 200-year flood protection for urban areas.	Eligible applicants are local public agencies or a Joint Powers Authority with the authority to implement flood management projects.	CEQA	Project must be 1) part of the State Plan of Flood Control, 2) located in the Sacramento-San Joaquin Delta and 3) protecting an urban area (pop. > 10,000) in Central Valley	Projects that are not part of the State Plan of Flood Control and are not in the Sacramento-San Joaquin Delta.	Approx. \$155 million available	Draft guidelines due summer 2014. Check website for State Plan of Flood Control information http://www.water.ca.gov/cvfm/docs/SPFCDescriptiveDocumentNov2010.pdf	Kelly Fucciolo (916) 574-0918

Webpage: www.water.ca.gov/floodsafe/

Local Levee Assistance Program Proposition 84	Department of Water Resources	Grant	Local Levee Evaluation Projects (LOLE) - Evaluate levees or other flood control structures Local Levee Critical Repair Projects (LLCR) – Design, repair and improve damaged levees or other flood control structures	Local public agencies Levees or other flood control structures that are not part of the State Plan of Flood Control Levees or other flood control structures located outside of the Sacramento-San Joaquin Delta Levees or other flood control structures that protect a non-urban area (pop. < 10,000) in the Central Valley California Native American Tribes may be eligible if they are a local public agency or Joint Powers Authority responsible for the local levee and qualified to contract with the State.	CEQA	LOLE - Evaluation of levees or other flood control structures; field surveys, geotechnical investigations, hydrology and hydraulic analysis, feasibility studies, environmental documentation and reporting LLCR – Design, repair or improvement of levees or other flood control structures; costs for environmental permits and CEQA compliance, and costs of conducting independent peer reviews	LOLE - Evaluation of levees or other flood control structures that are part of the State Plan of Flood Control for the Central Valley or located within the Sacramento-San Joaquin Delta LLCR – Design, repair or improvement of levees or other flood control structures that are part of the State Plan of Flood Control for the Central Valley or located within the Sacramento-San Joaquin Delta	LOLE - \$2 million per applicant; LLCR - \$5 million per applicant	Guidelines released in 2011. Application period in late 2014.	David Wright (916) 574-1191
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Webpage: www.water.ca.gov/floodmgmt/fpo/sgb/llap/

WATER PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Delta Levees Program Special Flood Control Projects 75033 Proposition 84 & 1E	Department of Water Resources	Grant (Claims Reimb.)	Improvement and enhancement of the Delta levee system and habitat restoration in the Delta Projects located within the Delta	Local levee maintaining agencies and Reclamation Districts California Native American Tribes are eligible if they are a public agency responsible for the maintenance of a non-project levee or a project levee in the Delta. (CA. Water Code Section 12310(a))	CEQA	Flood protection improvement projects and habitat restoration in the Delta	Projects that do not meet requirements for environmental mitigation (AB 360) and agricultural irrigation or drainage projects	Up to 100% State reimbursement for eligible costs, pursuant to executed funding agreement	2014 Guidelines and Multi-Benefit PSP have been released. Claim submittals accepted on monthly basis based on project expenditures, with executed funding agreement	Jon Wright (916) 651-7010 Mark Bradley (916) 651-7003 Andrea Lobato (916) 651-9295

Webpage: www.water.ca.gov/floodsafe/fessro/levees/special_projects/

Delta Levees Program Delta Levee Subventions, 75033 Proposition 84 & 1E	Department of Water Resources	Grant (Claims Reimb.)	Maintain and rehabilitate non-project and eligible project levees in the Delta	Local levee maintaining agencies and Reclamation Districts located within the Delta Levees not part of the State-Federal Flood Control System California Native American Tribes are eligible if they are an agency or political subdivision of the State which is authorized to maintain levees (CA Water Code Section 12980(c)).	CEQA	Delta Levee System maintenance projects that meet goals of the California Water Code Sections 12980 – 12995.	Projects that do not meet requirements for environmental mitigation (AB 360) and agricultural irrigation or drainage projects	Up to 75% State reimbursement for eligible costs, pursuant to executed funding agreement	Claim submittals accepted on annual basis, pursuant to executed funding agreement. Claims paid based on available State funding Final Subventions claims due November 1, 2014.	Sandi Maxwell (916) 651-7009 Andrea Lobato (916) 651-9295
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Webpage: www.water.ca.gov/floodsafe/fessro/

Desalination Program Proposition 50 (Chapter 6, Section 79545(a))	Department of Water Resources	Grant	Development of local water supplies through brackish water and sea water desalination	Local agencies, California Native American Tribes, non-profit organizations, universities/colleges, state agencies, Federal agencies	CEQA	Brackish groundwater or seawater projects, including construction for implementation, feasibility studies, pilot or demonstration projects, and research projects		Up to \$400,000 is available.	Application period fall 2014 – winter 2015.	Rich Mills (916) 651-0715
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Webpage: www.water.ca.gov/desalination/

WASTEWATER & WATER RECYCLING PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Infrastructure State Revolving Fund (ISRF) Program	California Infrastructure and Economic Development Bank (I-Bank)	Loan	Provide financing for construction and/or repair of publicly owned wastewater collection and treatment systems	<p>Applicant may be any subdivision of a local or state government.</p> <p>Applicant may also be a company, corporation, association, partnership, firm, or other entity or group of entities organized as a public benefit not-for-profit entity engaged in business or operations within the state. Certain projects may require such entities to apply in conjunction with a Sponsor.</p> <p>Project must promote economic development and attracts, creates, and sustains long-term employment opportunities.</p>	N/A	<p>Construct and/or repair collection system and treatment facilities, including equipment</p> <p>Acquire land in conjunction with such project</p>	<p>Subject to review:</p> <ul style="list-style-type: none"> · Privately owned infrastructure · Debt refinancing 	\$50,000 to \$25 million	<p>The interest rate benchmark is Thompson's Municipal Market Data Index.</p> <p>Staff may adjust the interest rate based upon factors that include:</p> <ul style="list-style-type: none"> · Unemployment, · Medium Household Income, · Environmental, · Other special circumstances <p>The I-Bank Board has final approval of the interest rate.</p> <p>Maximum 30 year term</p> <p>Open application process</p>	Ruben Rojas (916) 327-2029 Diane Cummings (916) 324-4805

Webpage: http://ibank.ca.gov/infrastructure_loans.htm

Community Development Block Grant (CDBG) Program	State Department of Housing and Community Development	Grants to City and County Jurisdictions	<p>Project must "principally" benefit low income persons/households</p> <p>For example: Make upgrades to a sewage collection and treatment system for residents of a community with over 51% of its residents being low income</p>	<p>Cities or counties that are not under HUD's CDBG entitlement program</p> <p>Jurisdictions can pay for their own system or give the funds to private or public systems</p>	NEPA/CEQA	<p>Pay for project feasibility study, final plans and specs, site acquisition and construction, and grant administration costs</p> <p>Rehabilitate or construct sewer/water lines or sewer lift station</p> <p>Pay for one-time assessment fees for low income families</p> <p>Pay for installation of private laterals and hook up fees for low income families under our Housing and Rehabilitation activity</p>	<p>Maintenance costs</p> <p>Refinancing existing debt</p>	<p>Each CDBG Allocation sets Funding award limits In their annual NOFA (Typically \$1,500,000)</p> <p>Six Types of Activities: 1-Community Development, 2-Economic Development Enterprise Fund, 3-Economic Development Over the Counter, 4- Native American, 5-Colonia and 6-Planning and Technical Assistance</p>	<p>Notices of Funding Availability (NOFAs) scheduled for release January each year.</p> <p>Jurisdiction sets type of financing and terms (grants vs. loans)</p>	Thomas Brandeberry (916) 263-1328
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Webpage: <http://www.hcd.ca.gov/fa/cdbg/index.html>

WASTEWATER & WATER RECYCLING PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Clean Water State Revolving Fund (CWSRF) Program: Wastewater Treatment Projects	State Water Resources Control Board	Financing* *Limited amount of principal forgiveness/ grants available to disadvantaged communities	Provide low interest financing for wastewater treatment facilities	Municipality	CEQA+	Collection and treatment facilities or systems including eligible reserve capacity, process control systems, onsite solids handling; allowance costs (planning, design, construction management); and mitigation measures mandated by state and/or federal agencies.	Land, O&M, change orders, decorative items, construction or improvements on private property	None	Interest rate is ½ of the latest general obligation bond rate (may be reduced to as low as 0% for certain SDACs) Repayment term of up to 30 years	Bob Pontureri (916) 341-5828 Small Disadvantaged Community Wastewater Projects: Meghan Tosney (916) 341-5729
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/srf/index.shtml										
Water Recycling Funding through the CWSRF Program	State Water Resources Control Board	Financing	Provide funding for water recycling projects	Municipal wastewater reclamation only	CEQA+	Construction of water recycling distribution, storage, pumping, treatment and groundwater recharge facilities	Including but not limited to: Land, easements, O&M, , decorative items, improvements on private property, all other items that are not part of the construction contract (except allowances)	None	Interest rate is ½ of the general obligation bond Repayment term of up to 30 years	Dan Newton (916) 324-8404
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/srf/index.shtml										
Water Recycling Funding Program – Construction Loan Program	State Water Resources Control Board	Financing* *Limited funds available as accumulated from Prop. 13 loan repayments.	Provide funding for water recycling projects	Municipal wastewater reclamation, and local groundwater reclamation (contamination due to human activity)	CEQA	Construction of water recycling distribution, storage, pumping , treatment and groundwater recharge facilities.	, Including but not limited to: Land, easements, O&M, decorative items , on-site retrofits, all other items that are not part of the construction contract (except allowances)	None	Continuous application process Interest rate is ½ of the general obligation bond Repayment term of 20years	Dan Newton (916) 324-8404
Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/water_recycling/index.shtml										

WASTEWATER & WATER RECYCLING PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Water Recycling Funding Program – Facilities Planning Grant Program	State Water Resources Control Board	Grant	Funding for facilities planning studies to determine the feasibility of using recycled water to offset the use of fresh/potable water from state and/or local supplies.	Only public agencies are eligible to receive a facilities planning grant.	Not Applicable	Preparation of a complete facilities planning report (stamped and signed by a licensed California engineer), including a market assessment, alternative analysis, development of user assurances and preparation of a construction financing plan.	Pollution control studies, in which water recycling is an alternative, are not eligible.	50 percent of eligible costs up to \$75,000.	Continuous Application Process.	Dan Newton (916) 324-8404

Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/water_recycling/index.shtml

Water Recycling Funding Program – Construction Grant Program	State Water Resources Control Board	Grant* *Very limited funds available as accumulated from Prop. 13 loan repayments and Prop. 50 appropriations.	Provide funding for water recycling projects	Municipal wastewater reclamation, and local groundwater reclamation (contamination due to human activity)	CEQA	Construction of water recycling distribution, storage, pumping, treatment and groundwater recharge facilities.	Including but not limited to: Planning costs, land, easements, O&M, legal costs, on-site retrofits, contingencies	Construction grants are limited to 25 percent of the eligible construction cost or \$4 million whichever is less. Funding is limited.	Continuous Application Process. Must be on Competitive Project List (CPL)	Dan Newton (916) 324-8404
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Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/water_recycling/index.shtml

Seawater Intrusion Control Loan Program	State Water Resources Control Board	Financing	Provide construction loans to projects that prevent the destruction of groundwater quality due to seawater intrusion.	City, county, district, joint powers authority, or other political subdivision of the state involved in water management.	CEQA	Eligible projects may include, but are not limited to, water conservation, freshwater well injection, and substitution of groundwater pumping from local surface supplies. Construction of distribution, storage, pumping, injection wells and treatment facilities.	O&M, legal costs, land, change orders and claims	Eligible projects funded on a first-come-first-served basis. The date of the Division's approval of a complete application will determine the order.	Interest rate is ½ of the general obligation bond Repayment term of 20 years	Dan Newton (916) 324-8404
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Webpage: http://www.waterboards.ca.gov/water_issues/programs/grants_loans/swic.shtml

WASTEWATER & WATER RECYCLING PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Water and Waste Disposal	USDA Rural Development	Loan/Grant	Provide financing for , wastewater, solid waste and storm drainage systems both new and existing projects	Public bodies, tribes, nonprofits, Cities and Towns and census designated places with populations less than 10,000	NEPA/CEQA	Funds may be used for costs associated with planning, design, and construction of new or existing systems Eligible projects include treatment, collection, storm drainage	Facilities not modest in size, design, and cost For profit systems	None, but average project size \$3-5 million	Loans: fixed 2.- 4.% 40 years Grant funding available to reduce user costs Continuous filing	Dave Hartwell USDA State Office (530) 792-5817
Water and Waste Disposal Colonias Grant	USDA Rural Development	Grant	Provide funding to help especially needy communities near the US-Mexico Border pay for all or part of the costs to provide waste disposal and storm drain facilities	Eligible applicants are designated "Colonias" within 150 miles of US-Mexico Border Cities, Towns, Public bodies, census designated place ,with populations less than 10,000	NEPA/CEQA	Residents to be served must face significant health risks due to the fact that a significant proportion of the community's residents do not have access to, or are not served by, adequate, waste disposal	Facilities not modest in size, design, and cost For profit systems	Average project grant \$1 million	100% grant, subject to availability Continuous filing	Dave Hartwell USDA State Office (530) 792-5817
Water and Waste Disposal	USDA Rural Development	USDA guarantees loans made by banks	Provide additional security for commercial lenders that finance wastewater, storm drainage, and solid waste systems	Banks and other commercial lenders are eligible applicants Cities, Towns, Public bodies, census designated place, with populations less than 10,000	NEPA/CEQA	Funds may be for costs associated with planning, design, and construction of new or existing systems Eligible projects include treatment, collection, and storm drainage	Facilities not modest in size, design, and cost For profit systems	None	Negotiated between business and lender Fixed and variable rates allowed Continuous filing	Dave Hartwell USDA State Office (530) 792-5817

Webpage: <http://www.rurdev.usda.gov/ca>

WASTEWATER & WATER RECYCLING PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Rural Energy for America Program	USDA – Rural Development	Grants and loan guarantees	To provide assistance for energy audits and renewable energy development	Must be either an agriculture producer or rural small business. Units of state, tribal or local government; land-grant colleges, universities.	NEPA	Commercially available technologies. Limited to: Bio-energy from Biomass, including anaerobic digester; Geothermal; elect generation; Hydrogen, Solar, Photovoltaic and Thermal; Wind; Micro-hydro; Ocean; E85 and Biodiesel Blender Pumps.	Research and Development, demonstration projects, provision of power to residents.	Renewable Energy Systems (RES) and Energy Efficiency Improvement (EEI) and feasibility study grants require 75% match. Loan Guarantee between 60 and 80% of loan. Application for Loan Guarantees up to \$25 million. Feasibility Study grants up to \$50,000. EA/REDA grants max\$100,000.	RES, EEI and feasibility study grants require 75% match. Loan Guarantee between 60 and 80% of loan.	Steven Nichols (530) 792-5805

Webpage: http://www.rurdev.usda.gov/BCP_ReapEaReda.html

WaterSMART: Title XVI Water Reclamation and Reuse Program	US Bureau of Reclamation	Grants and Cooperative Agreements	Provide Federal funding for the study or construction of projects that reclaim and reuse municipal, industrial, domestic or agricultural wastewater and naturally impaired ground or surface waters.	No requirements for studies. Project-specific construction authorization is required to receive Federal funds for construction	NEPA	Reclaimed water can be used for a variety of purposes such as environmental restoration, fish and wildlife, groundwater recharge, municipal, domestic, industrial, agricultural, power generation, or recreation.	None	The maximum Federal cost share for studies is 50% and for construction is the lesser of \$20 million or 25% of the total project costs.	None	Nicole Johnson (916) 978-5085
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Webpage: <http://www.usbr.gov/WaterSMART/title/>

ROADWAY PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Infrastructure State Revolving Fund (ISRF) Program	California Infrastructure and Economic Development Bank (I-Bank)	Loan	Provide financing for public infrastructure projects such as city streets, county and state highways	<p>Applicant may be any subdivision of a local or state government.</p> <p>Applicant may also be a company, corporation, association, partnership, firm, or other entity or group of entities organized as a public benefit not-for-profit entity engaged in business or operations within the state. Certain projects may require such entities to apply in conjunction with a Sponsor.</p> <p>Project must promote economic development and attracts, creates, and sustains long-term employment opportunities.</p>	N/A	<p>Construct or repair public roadway</p> <p>Acquire land in conjunction with such project</p>	<p>Subject to review:</p> <ul style="list-style-type: none"> · Privately owned infrastructure · Debt refinancing 	\$50,000 to \$25 million	<p>The interest rate benchmark is Thompson's Municipal Market Data Index.</p> <p>Staff may adjust the interest rate based upon factors that include:</p> <ul style="list-style-type: none"> · Unemployment, · Medium Household Income, · Environmental, · Other special circumstances <p>The I-Bank Board has final approval of the interest rate.</p> <p>Maximum 30 year term</p> <p>Open application process</p>	<p>Ruben Rojas (916) 327-2029</p> <p>Diane Cummings (916) 324-4805</p>

Webpage: http://ibank.ca.gov/infrastructure_loans.htm

Community Development Block Grant (CDBG) Program	State Department of Housing and Community Development	Grants to City and County Jurisdictions	<p>Project must "principally" benefit low income persons/households</p> <p>For example: Install new roads or curbs, gutters, and sidewalk, or new street drainage system for residents of a community with over 51% of its residents being low income.</p>	Cities or counties that are not under HUD's CDBG entitlement program	NEPA/CEQA	<p>Pay for project feasibility study, final plans and specs, site acquisition and construction, and grant administration costs</p> <p>Must be rehabilitation or a new road and can include installing street lights, landscaping, and sidewalks</p> <p>Potentially: Assist families to install sidewalks in front of their home</p>	Maintenance costs	<p>Each CDBG Allocation sets funding award limits in Their annual NOFA (Typically \$1,500,000)</p> <p>Six Types of Activities: 1-Community Development, 2-Economic Development Enterprise Fund, 3-Economic Development Over the Counter, 4- Native American, 5-Colonia and 6-Planning and Technical Assistance</p>	<p>Notices of Funding Availability (NOFAs) scheduled for release January each year.</p> <p>Jurisdiction sets type of financing and terms (grants vs. loans)</p>	<p>Thomas Brandeberry (916) 263-1328</p> <p>thomas.brandeberry@hcd.ca.gov</p>
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Webpage: <http://www.hcd.ca.gov/fa/cdbg/index.html>

ROADWAY PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Community Facility (CF) Direct Loan	USDA Rural Development	Loan	Finance new construction or repair	Cities, Towns, Nonprofits and Unincorporated areas with less than 20,000 Population	NEPA	Construct or repair public roadways	Private roads	\$20 million per project per year	Loans: 3-5% 40 year maximum term Continuous filing	Anita Lopez (530) 792-5822

COMMUNITY FACILITY PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Infrastructure State Revolving Fund (ISRF) Program	California Infrastructure and Economic Development Bank (I-Bank)	Loan	Provide financing for public infrastructure projects such as educational facilities (libraries, child care, day care, employment training facilities, laboratories, administration centers, student service buildings, athletic complexes, and public parking facilities); parks and recreational facilities, equipment, parkways, and property); and public safety facilities (police stations, fire stations, court buildings, jails, juvenile halls, juvenile detention facilities)	Applicant may be any subdivision of a local or state government. Applicant may also be a company, corporation, association, partnership, firm, or other entity or group of entities organized as a public benefit not-for-profit entity engaged in business or operations within the state. Certain projects may require such entities to apply in conjunction with a Sponsor. Project must promote economic development and attracts, creates, and sustains long-term employment opportunities.	N/A	Construct or acquire a facility Acquire land, in conjunction with such project	Subject to review: · Privately owned infrastructure · Debt refinancing	\$50,000 to \$25 million	The interest rate benchmark is Thompson's Municipal Market Data Index. Staff may adjust the interest rate based upon factors that include: · Unemployment, · Medium Household Income, · Environmental, · Other special circumstances The I-Bank Board has final approval of the interest rate. Maximum 30 year term Open application process	Ruben Rojas (916) 327-2029 Diane Cummings (916) 324-4805

Webpage: http://ibank.ca.gov/infrastructure_loans.htm

Community Development Block Grant (CDBG) Program	State Department of Housing and Community Development	Grants to City and County Jurisdictions	Project must "principally" benefit low income persons/households For example: create a facility for homeless services or a healthcare facility in a community where at least 51% of residents are low income; or create/expand a public facility that creates jobs for low income persons	Cities or counties that are not under HUD's CDBG entitlement program Jurisdictions can pay for their own community facilities or give the funds to other government agencies or private or non profit agencies	NEPA/CEQA	Pay for project feasibility study, final plans and specs, site acquisition and construction costs (new construction or rehabilitation costs), and grant administration. Types of facilities: fire and police stations, homeless and battered family shelters, day care centers for seniors or kids, social service and health care facilities, teen centers, job training and business incubators.	Refinancing existing debt Buildings for general use by local government To be eligible, Public Facilities must provide HUD-eligible Public Services. Contact CDBG for additional information.	Each CDBG Allocation sets funding award limits in their annual NOFA (Typically up to \$1,500,000) Six Types of Activities: 1-Community Development, 2-Economic Development Enterprise Fund, 3-Economic Development Over the Counter, 4- Native American, 5-Colonia and 6-Planning and Technical Assistance	Notices of Funding Availability (NOFAs) released each year, scheduled for every January. Jurisdiction sets type of financing and terms (grants vs. loans)	Thomas Brandeberry (916) 263-1328 thomas.brandeberry@hcd.ca.gov
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Webpage: <http://www.hcd.ca.gov/fa/cdbq/index.html>

COMMUNITY FACILITY PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Community Facility (CF) Guarantee	USDA Rural Development	Loan Guarantee	Offer loan Guarantees To Lenders to help Build community facilities	Banks and other commercial lenders make loans to nonprofits & tribes in Communities with < 20,000 in population	NEPA	The loans guaranteed can be used for real estate and equipment (e.g. clinics, child care, fire stations, public buildings)	Golf Courses	\$100,000 - \$20 million	Negotiated between borrower and lender Fixed and variable rates Continuous filing	Pete Yribarren South (559) 734-8732 x108 Anita Lopez North (530)792-5822
Community Facility (CF) Direct Loan	USDA Rural Development	Loan	Develop essential community facilities	In Cities and Towns of <20,000 in population to public bodies, non profits and tribes	NEPA	The loans can be used for real estate and equipment (e.g. clinics, child care, fire stations, public buildings)	Recreation	\$100,000 - No Maximum	Loans: 3.55.% (rates subject to change) 40 years maximum term Continuous filing	Pete Yribarren South (559) 734-8732 x108 Anita Lopez North (530)792-5822
Community Facility (CF) Grant	USDA Rural Development	Grant	To help communities that cannot qualify for a CF loan	In Cities and Towns of <20,000 in population to public bodies, non profits and tribes	NEPA	The grants can be used for equipment (e.g. clinics, child care, fire stations, public buildings)	Recreation; feasibility studies, operating expenses	Average \$20,000	Continuous filing	Pete Yribarren South (559) 734-8732x108 Anita Lopez North (530)792-5822

OTHER INFRASTRUCTURE PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Infrastructure State Revolving Fund (ISRF) Program	California Infrastructure and Economic Development Bank (I-Bank)	Loan	Provide financing for public infrastructure projects such as: <ul style="list-style-type: none"> environmental mitigation port facilities power and communications transmission or distribution facilities public transit solid waste collection and disposal defense conversion military infrastructure 	<p>Applicant may be any subdivision of a local or state government.</p> <p>Applicant may also be a company, corporation, association, partnership, firm, or other entity or group of entities organized as a public benefit not-for-profit entity engaged in business or operations within the state. Certain projects may require such entities to apply in conjunction with a Sponsor.</p> <p>Project must promote economic development and attracts, creates, and sustains long-term employment opportunities.</p>	N/A	<p>Construct or modify:</p> <ul style="list-style-type: none"> educational, cultural, and social facilities public infrastructure, purchase and install pollution control or noise abatement equipment parks and recreation facilities docks, harbors, piers, marinas facilities for and/or transmission or distribution of electrical energy, natural gas, and telecommunication air and rail transport of goods, including parking facilities transfer stations, recycling centers, sanitary landfills, waste conversion and recycling facilities facilities for successfully converting military bases facilities on or near a military installation that enhance military operations <p>acquire land in conjunction with such project</p>	<p>Subject to review:</p> <ul style="list-style-type: none"> Privately owned infrastructure Debt refinancing 	\$50,000 to \$25 million	<p>The interest rate benchmark is Thompson's Municipal Market Data Index.</p> <p>Staff may adjust the interest rate based upon factors that include:</p> <ul style="list-style-type: none"> Unemployment Medium Household Income, Environmental, Other special circumstances <p>The I-Bank Board has final approval of the interest rate.</p> <p>Maximum 30 year term</p> <p>Open application process</p>	<p>Ruben Rojas (916) 327-2029</p> <p>Diane Cummings (916) 324-4805</p>

Webpage: http://ibank.ca.gov/infrastructure_loans.htm

OTHER INFRASTRUCTURE PROGRAMS

Program	Department	Type	Purpose	Eligibility Requirements	CEQA/NEPA	Eligible Uses	Ineligible Uses	Funding Limits	Terms/Dates	Contact
Community Development Block Grant (CDBG) Program	State Department of Housing and Community Development	Grants to City and County Jurisdictions	<p>Project must "principally" benefit low income persons/households</p> <p>For example: create or improve a park in a community where at least 51% of residents are low income.</p> <p>Upgrade public access to a facility for ADA compliance</p>	<p>Cities or counties that are not under HUD's CDBG entitlement program</p> <p>Jurisdictions can fund their own facilities or pass funds through to special districts or agencies</p>	NEPA/CEQA	<p>Pay for project feasibility study, final plans and specs, site acquisition and construction, and grant administration costs of parks</p> <p>Installation of ADA improvements in public facilities</p>	<p>Maintenance costs</p> <p>Refinancing existing debt</p> <p>Building of general use by local government</p>	<p>Each CDBG Allocation sets funding award limits in Their annual NOFA (Typically \$1,500,000)</p> <p>Six Types of Activities: 1-Community Development, 2-Economic Development Enterprise Fund, 3-Economic Development Over the Counter, 4- Native American, 5-Colonia and 6-Planning and Technical Assistance</p>	<p>Notices of Funding Availability (NOFAs) scheduled for release January each year.</p> <p>Jurisdiction sets type of financing and terms (grants vs. loans)</p>	<p>Thomas Brandeberry (916) 263-1328</p> <p>thomas.brandeberry@hcd.ca.gov</p>

Webpage: <http://www.hcd.ca.gov/fa/cdbq/index.html>

CALIFORNIA FINANCING COORDINATING COMMITTEE (CFCC)

COMMON FUNDING INQUIRY FORM

Instructions: An electronic copy of this form can be obtained at: www.cfcc.ca.gov

Please provide the information below and e-mail the completed form to: ibank@ibank.ca.gov

If completing a hard copy of this form, attach responses where applicable and fax to (916) 322-6314.

Name of Applicant or Official System Name:

County:

Check the box that best describes the applicant's organization:

Municipal entity

Private entity, for profit

Private entity, nonprofit

Project OR problem description. Describe the problem or the need for the project, the purpose of the project, the basic design features of the project and what the project will accomplish. (Attach documentation, if available)

Estimated Project Schedule. Provide a timeline that illustrates the estimated start and completion dates for each major phase or milestone of project development, construction and/or acquisition (including, for example, feasibility study, land acquisition, preliminary engineering, environmental review, final design and construction commencement and completion).

Financing is needed for (check all that apply):

Feasibility Study

Rate Study

Engineering/Architectural

Land Acquisition

Project Construction and Administration

Other, specify: _____

Estimated Total Project Costs \$

Estimated amount of funding requested \$

Multiple funding sources anticipated: Yes

No

For water/sewer projects only:

System ID No.: _____

Service Area Population: _____

Number of Service Connections: _____

Estimated Median Household Income
of service area: \$ _____

How did you hear about the California Financing Coordinating Committee?

All correspondence regarding this inquiry will be sent to the individual named below. You will receive a written acknowledgement of the receipt of this inquiry form and be contacted by staff of the appropriate CFCC member agencies to pursue additional assistance.

Printed Name of Inquirer

Title

Mailing Address (street)

City/State

Zip code

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()

Phone Number

FAX Number

e-mail

For CFCC Use Only.

Date of Referral to CFCC Member Agencies.

Date Responded to Applicant Inquiry.

ACRONYMS AND ABBREVIATIONS

1940-1 – Documentation used to set aside funds for a project
306C – Colonias Grant Program (for projects near the Mexican border)
306C – Tribal Grant (for federally recognized tribes)
501(c)(3) – Nonprofit entity meeting certain Internal Revenue Service tax requirements

AB – Assembly Bill
AB 32 – California Assembly Bill 32 that is major piece of climate change legislation
AF – Acre Feet
AWT – Advanced Water Treatment
ACS – American Community Survey

CALFED – CALFED Bay-Delta Program; 25 state and federal agencies comprise CALFED
CalWep – California Water and Energy Program
CARE – Community Action for a Renewed Environment (USEPA)
Cat Ex – Categorical Exclusion
CBI – Clean Beaches Initiative
CDBG – Community Development Block Grant
CDPH – California Department of Public Health
CEQA – California Environmental Quality Act
CF – Community Facilities
CFCC – California Financing Coordinating Committee
CIEDB – California Infrastructure and Economic Development Bank (I-Bank)
CVP – Central Valley Project
CPL – Competitive Project List
CWSRF – Clean Water State Revolving Fund (State Water Board)

DAC – Disadvantaged Community
DBE – Disadvantaged Business Enterprises
DFA – Division of Financial Assistance
DRIP – Desalination Research Innovation Partnership
DSCR – Debt Service Coverage Ratio
DWR – Department of Water Resources

EA – Environmental Assessment
ED – Economic Development
EEF – Economic Enterprise Fund (CDBG)
EF – Enterprise Fund
EIS/EIR – Environmental Impact Statement/Environmental Impact Report
EPA – Environmental Protection Agency
ER – Environmental Report
ERU – Environmental Review Unit

FAAST – Financial Assistance Application Submittal Tool (State Water Board)
FOA – Funding Opportunity Announcement
FY – Fiscal Year

GHG – Greenhouse Gas Emissions
G/NA – General Program/Native American Allocation (CDBG)

ACRONYMS (cont.)

GO – General Obligation (Bonds)

HCD – State of California, Department of Housing and Community Development

HUD – United States Department of Housing and Urban Development

I-Bank – California Infrastructure and Economic Development Bank

IDB – Industrial Development Bond

IRWM – Integrated Regional Water Management

ISRF – Infrastructure State Revolving Fund (I-Bank)

IUP – Intended Use Plan

kWh – Kilowatt Hours

LGA – Local Groundwater Assistance

LOC – Letter of Commitment

LTIG – Low Target Income Group (50% of the County's median income)

MADS – Maximum Annual Debt Service

MCL – Maximum Contaminant Level

MHI – Median Household Income

NEPA – National Environmental Policy Act

NOAA – Notice of Acceptance of Application (funding offer)

NOFA – Notice of Funding Availability

NPDES – National Pollutant Discharge Elimination System

NPS – Nonpoint Source

NRCS – Natural Resources Conservation Service

O&M – Operations and Maintenance

OM&R – Operations, Maintenance, and Replacement

OTC – Over-the-Counter (CDBG Economic Development Grant)

OWR – Office of Water Recycling

PCA – Potential Contaminating Area

PER – Preliminary Engineering Report

PF – Principal Forgiveness

PI – Program Income

PL – Public Law

PPL – Project Priority List

PROP – Proposition

PSP – Proposal Solicitation Package

PTA – Planning/Technical Assistance Grant (CDBG)

PUC – Public Utilities Commission

RBS – Rural Business Service (USDA)

RD – Rural Development (USDA)

R&D – Research and Development

RHS – Rural Housing Service (USDA)

RO – Reverse Osmosis

ACRONYMS (cont.)

RRA – Reclamation Reform Act of 1982 (USBR)

RUS – Rural Utilities Service (USDA)

SB – Senate Bill

SCADA – Supervisory Control and Data Acquisition

SCG – Small Community Grant (State Water Board)

SDAC – Small and/or Disadvantaged Community (State Water Board)

SDWSRF – Safe Drinking Water State Revolving Fund (CDPH)

SF – Standard Form (USDA)

SI – Sustainable Infrastructure

SOR – System Optimization Review

SWGPP – Stormwater Grant Program

SWP – State Water Project

SWPPP – Source Water Protection Program

State Water Board – State Water Resources Control Board

TA – Technical Assistance

TCR – Target Consumer Rate

TIG – Target Income Group (80% of County median income)

TMF – Technical, Managerial, and Financial Capacity

UCC – Uniform Commercial Code

USBR – United States Bureau of Reclamation

USDA – United States Department of Agriculture

USEPA – United States Environmental Protection Agency

USTCF – Underground Storage Tank Cleanup Fund

WDR – Waste Discharge Requirements

WEP – Water and Environmental Programs

WRFP – Water Recycling Funding Program

WUE – Water Use Efficiency

WWD – Water and Waste Disposal Loan and Grant Program

W/WW – Water and Wastewater



2014 CFCC Funding Fairs

Please join the California Financing Coordinating Committee (CFCC) for this **no-cost** event.

2014 SCHEDULE

May 28, 2014

Cal/EPA Headquarters
Coastal Hearing Room
1001 "I" Street
Sacramento, CA 95814

August 20, 2014

Shasta/Redding Library
110 Parkview Ave
Redding, CA 96001

September 9, 2014

Fresno State University
North Gym, Room 118
5241 North Maple Ave
Fresno, CA 93740

September 11, 2014

San Luis Obispo City County Library
995 Palm Street
San Luis Obispo, CA 93401

September 23, 2014

Kern County Health Department
1800 Mt Vernon Ave
Bakersfield, CA 93306

September 25, 2014

Eastern Municipal Water District
2270 Trumble Road
Perris, CA 92572

October 9, 2014

Cal/EPA Headquarters
Coastal Hearing Room
1001 "I" Street
Sacramento, CA 95814
The Sacramento workshop will be webcast. Access to the webcast will be available online at: <http://www.calepa.ca.gov/broadcast>.

CFCC agencies fund the following types of eligible infrastructure projects including:

- Drinking water
- Wastewater
- Water quality
- Water supply
- Water conservation
- Solid waste
- Energy efficiency
- Flood management
- Streets and highways
- Emergency response vehicles
- Water use efficiency
- Community facilities



AGENDA

At each location, the Funding Fair Agenda is as follows:

Check in: 8 a.m.-8:30 a.m.

Agency Presentations: 8:30 a.m.-Noon

Discuss your projects: Noon-3 p.m.

ATTENDEE REGISTRATION

Go to www.cfcc.ca.gov and click on **Funding Fairs**

Funding Fair Questions?

Please call (916) 447-9832 x 1029



United States
Department of
Agriculture

California Financing Coordinating Committee

2014 Funding Fair Partners

CFCC is pleased to acknowledge and thank the Rural Community Assistance Corporation (RCAC) for providing refreshments at the 2014 Funding Fairs in Redding, Fresno, San Luis Obispo, Bakersfield, and Perris; and for handling the 2014 Funding Fair registration.

Acknowledgement and thanks also go to the following 2014 Funding Fair site providers:

- *California Environmental Protection Agency Headquarters – Sacramento*
- *Redding/Shasta Public Library - Redding*
- *Fresno State University – Fresno*
- *San Luis Obispo County Library – San Luis Obispo*
- *Kern County Health Department – Bakersfield*
- *Eastern Municipal Water District - Perris*