| Program  | Туре  | Purpose   | Eligibility<br>Requirements | CEQA/<br>NEPA  | Eligible Uses                                      | Ineligible<br>Uses                                   | Funding Limits   | Terms/<br>Dates  | Contact  |
|--|---|---|-----------------------------|----------------|--|--|--|--|--|
| California Depa  | artment of Hous                               | ing and Communi   | ty Development              |                |  |  |  |  |  |
| Community<br>Development<br>Block Grant<br>(CDBG)<br>Program | Grants to City<br>and County<br>Jurisdictions | Project must<br>"principally"<br>benefit low income<br>persons/<br>households<br>For example:<br>Make upgrades to<br>a sewage<br>collection and<br>treatment system<br>for residents of a<br>community with<br>over 51% of its<br>residents being<br>low income | that are not under          | CEQA /<br>NEPA | study, final plans and specs, site acquisition and | Maintenance<br>costs<br>Refinancing<br>existing debt | Funding limits vary by<br>activity as specified in each<br>NOFA.<br>Six Types of Activities:<br>1-Housing Assistance,<br>2-Public Facilities,<br>3-Infrastructure and<br>Infrastructure in Support of<br>Housing,<br>4- Public Services, 5-<br>Planning and Technical<br>Assistance, and<br>6-Economic Development | Notice of<br>Funding<br>Availability<br>(NOFA)<br>scheduled for<br>release March<br>2017.<br>Jurisdiction sets<br>type of financing<br>and terms<br>(grants vs. loans) | Charles Gray<br>(916) 263-1014<br>Jon Diedesch<br>(916) 263-2561 |
| Webpage:   | http://www.hcd.                               | ca.gov/fa/cdbg/inde   | x.html                      |                | ļ  |  |  |  |  |

| Program          | Туре               | Purpose                            | Eligibility          |      | Eligible Uses                                   | Ineligible                    | Funding Limits                   | Terms/                           | Contact        |
|------------------|--------------------|------------------------------------|----------------------|------|---|-------------------------------|----------------------------------|----------------------------------|----------------|
|                  |                    |                                    | Requirements         | NEPA |   | Uses                          |                                  | Dates                            |                |
| California Infra | structure and I    | Economic Develop                   | ment Bank (IBank)    |      |   |                               |                                  |                                  |                |
| Infrastructure   | Loan               | Provide financing                  | Eligible Applicants  | N/A  | <ul> <li>All or any part of the cost</li> </ul> | Subject to                    | \$50,000 to \$25 million, larger | <ul> <li>Maximum term</li> </ul> | Tom Dear       |
| State Revolving  |                    | for public                         | include, but are not |      | of construction,                                | review:                       | loans with Board approval        | 30 years                         | (916) 341-6710 |
| Fund (ISRF)      |                    | infrastructure                     | limited to: any      |      | renovation, and                                 | <ul> <li>Privately</li> </ul> |                                  | <ul> <li>Applications</li> </ul> | · · /          |
| Program          |                    | projects such as:                  | subdivision of a     |      | acquisition of all lands,                       | owned                         |                                  | continually                      |                |
| -                |                    | Water Treatment                    | local government,    |      | structures, real or                             | infrastructure.               |                                  | accepted                         |                |
|                  |                    | and Distribution                   | including cities,    |      | personal property.                              | Debt                          |                                  | The interest                     |                |
|                  |                    | <ul> <li>Drainage and</li> </ul>   | counties, special    |      | <ul> <li>Rights, rights of way,</li> </ul>      | refinancing                   |                                  | rate benchmark                   |                |
|                  |                    | Flood Control                      | districts,           |      | franchises, licenses,                           | -                             |                                  | is Thompson's                    |                |
|                  |                    | Sewage                             | assessment           |      | easements, and interests                        |                               |                                  | Municipal Market                 |                |
|                  |                    | Collection and                     | districts, joint     |      | acquired or used for a                          |                               |                                  | Data Index.                      |                |
|                  |                    | Treatment                          | powers authorities   |      | project.  |                               |                                  | <ul> <li>Staff may</li> </ul>    |                |
|                  |                    | <ul> <li>Parks and</li> </ul>      | and non-profit       |      | • The cost of demolishing                       |                               |                                  | adjust the                       |                |
|                  |                    | <b>Recreation Facility</b>         | corporations (as     |      | or removing any buildings                       |                               |                                  | interest rate                    |                |
|                  |                    | City Street                        | deemed eligible).    |      | or structures on land so                        |                               |                                  | based on upon                    |                |
|                  |                    | <ul> <li>Educational</li> </ul>    |                      |      | acquired, including the                         |                               |                                  | factors that                     |                |
|                  |                    | Facility                           |                      |      | cost of acquiring any                           |                               |                                  | include:                         |                |
|                  |                    | <ul> <li>Educational,</li> </ul>   |                      |      | lands to which the                              |                               |                                  | Unemployment                     |                |
|                  |                    | Cultural, and                      |                      |      | buildings or structures                         |                               |                                  | Medium                           |                |
|                  |                    | Social Facility                    |                      |      | may be moved.                                   |                               |                                  | Household                        |                |
|                  |                    | <ul> <li>Port Facility</li> </ul>  |                      |      | <ul> <li>The cost of all</li> </ul>             |                               |                                  | Income                           |                |
|                  |                    | <ul> <li>Public Safety</li> </ul>  |                      |      | machinery, equipment                            |                               |                                  | <ul> <li>Recent bond</li> </ul>  |                |
|                  |                    | Facility                           |                      |      | and financing charges.                          |                               |                                  | credit rating (if                |                |
|                  |                    | <ul> <li>State Highway</li> </ul>  |                      |      | <ul> <li>Interest prior to, during,</li> </ul>  |                               |                                  | any)                             |                |
|                  |                    | <ul> <li>County Highway</li> </ul> |                      |      | and for a period after,                         |                               |                                  |                                  |                |
|                  |                    | <ul> <li>Environmental</li> </ul>  |                      |      | completion of                                   |                               |                                  |                                  |                |
|                  |                    | Mitigation                         |                      |      | construction, renovation,                       |                               |                                  |                                  |                |
|                  |                    | Measures                           |                      |      | or acquisition, as                              |                               |                                  |                                  |                |
|                  |                    | <ul> <li>Power or</li> </ul>       |                      |      | determined by the IBank.                        |                               |                                  |                                  |                |
|                  |                    | Communications                     |                      |      | <ul> <li>Provisions for working</li> </ul>      |                               |                                  |                                  |                |
|                  |                    | Facility                           |                      |      | capital.  |                               |                                  |                                  |                |
|                  |                    | <ul> <li>Public Transit</li> </ul> |                      |      | <ul> <li>Reserves for principal</li> </ul>      |                               |                                  |                                  |                |
|                  |                    | <ul> <li>Military</li> </ul>       |                      |      | and interest and for                            |                               |                                  |                                  |                |
|                  |                    | Infrastructure                     |                      |      | extensions, enlargements,                       |                               |                                  |                                  |                |
|                  |                    | <ul> <li>Defense</li> </ul>        |                      |      | additions, replacement,                         |                               |                                  |                                  |                |
|                  |                    | Conversion                         |                      |      | renovations, and                                |                               |                                  |                                  |                |
|                  |                    |                                    |                      |      | improvements.                                   |                               |                                  |                                  |                |
|                  |                    |                                    |                      |      | • The cost of architectural,                    |                               |                                  |                                  |                |
|                  |                    |                                    |                      |      | engineering, financial and                      |                               |                                  |                                  |                |
| <b>A</b> / - 1   | hetter (herrore ?) | nk.ca.gov/ibank/prog               |                      |      | legal services, plans,                          | ļ                             |                                  | ļ                                | ļ              |
| Vebpage:         | http://www.lbal    | nk.ca.gov/ibank/prog               | 141115/1511          |      |   |                               |                                  |                                  |                |

| Program          | Туре          | Purpose                            | Eligibility          | CEQA/ | Eligible Uses                                | Ineligible                    | Funding Limits              | Terms/                          | Contact        |
|------------------|---------------|------------------------------------|----------------------|-------|--|-------------------------------|-----------------------------|---------------------------------|----------------|
|                  |               |                                    | Requirements         | NEPA  |  | Uses                          |                             | Dates                           |                |
| California Infra | structure and | Economic Develop                   | ment Bank (IBank)    |       |  |                               |                             |                                 |                |
| California       | Loan          | Provide financing                  | Eligible Applicants  | N/A   | Advanced metering                            | Subject to                    | From \$500 thousand to \$30 | The interest                    | Tom Dear       |
| Lending for      |               | for public                         | include, but are not |       | systems to support                           | review:                       | million, larger loans with  | rate benchmark                  | (916) 341-6710 |
| Energy and       |               | infrastructure                     | limited to: any      |       | conversion of master-                        | <ul> <li>Privately</li> </ul> | Board approval              | is Thompson's                   | (0.0) 0.000    |
| Environmental    |               | projects such as:                  | subdivision of a     |       | metered buildings to sub-                    | owned                         |                             | Municipal Market                |                |
| Needs            |               | • Energy                           | local government,    |       | metering                                     | infrastructure.               |                             | Data Index.                     |                |
| (CLEEN)          |               | Efficiency                         | including cities,    |       | Water/wastewater,                            | • Debt                        |                             | Staff may                       |                |
| Center           |               | Renewable                          | counties, special    |       | pipeline,                                    | refinancing                   |                             | adjust the                      |                |
|                  |               | Energy Sector                      | districts,           |       | mining/extraction, and                       | <b>-</b>                      |                             | interest rate                   |                |
|                  |               | Energy Storage                     | assessment           |       | similar end-use                              |                               |                             | based on upon                   |                |
|                  |               | Water Sector                       | districts, joint     |       | processes, facilities,                       |                               |                             | factors that                    |                |
|                  |               | <ul> <li>Alternative</li> </ul>    | powers authorities   |       | buildings, and                               |                               |                             | include:                        |                |
|                  |               | Technologies                       | and non-profit       |       | infrastructure                               |                               |                             | •                               |                |
|                  |               |                                    | corporations (as     |       | LED repairs,                                 |                               |                             | CalEnviroScreen                 |                |
|                  |               | <ul> <li>Transportation</li> </ul> | deemed eligible).    |       | replacements and                             |                               |                             | Score                           |                |
|                  |               | Statewide                          |                      |       | upgrades                                     |                               |                             | <ul> <li>Recent bond</li> </ul> |                |
|                  |               | Energy Efficiency                  |                      |       | Converting incandescent                      |                               |                             | credit rating (if               |                |
|                  |               | Program                            |                      |       | to CFL                                       |                               |                             | any)                            |                |
|                  |               | (SWEEP)                            |                      |       | <ul> <li>Heating, ventilation and</li> </ul> |                               |                             |                                 |                |
|                  |               | LED Street                         |                      |       | air conditioning systems                     |                               |                             |                                 |                |
|                  |               | Lighting Program                   |                      |       | (HVAC)                                       |                               |                             |                                 |                |
|                  |               | (LED Program)                      |                      |       | <ul> <li>Lighting and control</li> </ul>     |                               |                             |                                 |                |
|                  |               |                                    |                      |       | systems                                      |                               |                             |                                 |                |
|                  |               |                                    |                      |       | <ul> <li>Energy management</li> </ul>        |                               |                             |                                 |                |
|                  |               |                                    |                      |       | and/or control systems                       |                               |                             |                                 |                |
|                  |               |                                    |                      |       | Thermal and electric                         |                               |                             |                                 |                |
|                  |               |                                    |                      |       | energy storage                               |                               |                             |                                 |                |
|                  |               |                                    |                      |       | <ul> <li>Demand response</li> </ul>          |                               |                             |                                 |                |
|                  |               |                                    |                      |       | programs                                     |                               |                             |                                 |                |
|                  |               |                                    |                      |       | <ul> <li>Building envelope</li> </ul>        |                               |                             |                                 |                |
|                  |               |                                    |                      |       | <ul> <li>Occupant plug load</li> </ul>       |                               |                             |                                 |                |
|                  |               |                                    |                      |       | management systems                           |                               |                             |                                 |                |
|                  |               |                                    |                      |       | <ul> <li>Load reduction</li> </ul>           |                               |                             |                                 |                |
|                  |               |                                    |                      |       | <ul> <li>Zero emission vehicles</li> </ul>   |                               |                             |                                 |                |
|                  |               |                                    |                      |       | <ul> <li>Hydrogen fueling</li> </ul>         |                               |                             |                                 |                |
|                  |               |                                    |                      |       | stations                                     |                               |                             |                                 |                |
|                  |               |                                    |                      |       | Data center, information                     |                               |                             |                                 |                |
|                  |               |                                    |                      |       | technology,                                  |                               |                             |                                 |                |
|                  |               |                                    |                      |       | communications                               |                               |                             |                                 |                |
|                  |               |                                    |                      |       | <ul> <li>Other projects with</li> </ul>      |                               |                             |                                 |                |

| Program   | Туре  | Purpose   |   |  | Eligible Uses   | Ineligible  | Funding Limits  | Terms/   | Contact   |
|---|---|---|---|--|---|---|---|--|---|
|   |   |   | Requirements  | NEPA   |   | Uses  |   | Dates  |   |
| State Water Res   | sources Contro  | l Board   |   |  |   |   |   |  |   |
| Clean Water<br>State Revolving<br>Fund (CWSRF)<br>Program:<br>Wastewater<br>Treatment<br>Projects | Financing*<br>*Grants<br>available for<br>small<br>disadvantaged<br>communities | Provide low<br>interest financing<br>for wastewater<br>treatment facilities | Municipality  | CEQA+  | Collection and treatment<br>facilities or systems<br>including eligible reserve<br>capacity, process control<br>systems, onsite solids<br>handling; allowance costs<br>(planning, design,<br>construction<br>management); and<br>mitigation measures<br>mandated by state and/or<br>federal agencies. | Land, O&M,<br>change<br>orders,<br>decorative<br>items,<br>construction<br>or<br>improvements<br>on private<br>property | None  | of the latest<br>general<br>obligation bond<br>rate (may be<br>reduced for<br>certain small                        | Bob Pontureri<br>(916) 341-5828<br>Small<br>Disadvantaged<br>Community<br>Wastewater<br>Projects:<br>Jennifer Toney<br>(916) 319-8246 |
| Webpage:<br>State Water Res   |   |   | er_issues/programs/g  | rants_loa  | ns/srf/index.shtml  |   |   |  | <u> </u>  |
| Water   |   | Provide   | Public Agencies,  | CEQA+  | Construction of water   | Including but   | Prop 13 Construction grants   | Continuous   | Dan Newton  |
| Recycling<br>Funding<br>Program –<br>Construction<br>Loan and Grant<br>Program                    | Grant   | construction loans and grants for   | Water Utilities<br>regulated by the<br>CPUC, Federally<br>recognized Tribes,<br>and Mutal Water | for<br>CWSRF<br>funds<br>and<br>CEQA<br>for Prop<br>1 and<br>13<br>funds | recycling distribution,<br>storage, pumping,<br>treatment, including<br>groundwater recharge<br>facilities and spreading<br>basins  | not limited to:<br>O&M,<br>decorative<br>items,   | are limited to 25 percent of<br>the eligible construction cost<br>or \$4 million whichever is<br>less. Prop 1 grants are<br>limited to 35% of the eligible<br>construction cost or \$15<br>million whichever is less. | application<br>process<br>Interest rate is ½<br>of the general<br>obligation bond<br>Repayment term<br>of 30 years | (916) 324-8404  |
| Webpage:  | http://www.wate   | erboards.ca.gov/wat   | er_issues/programs/g  | rants_loa  | ns/srf/index.shtml  | I   | I   | I  |   |

| Program   | Туре            | Purpose   |   | CEQA/<br>NEPA | Eligible Uses   | Ineligible<br>Uses  | Funding Limits  | Terms/<br>Dates                       | Contact                      |
|---|-----------------|---|---|---------------|---|---|---|---------------------------------------|------------------------------|
| State Water Res   | sources Contro  | l Board   |   |               |   |   |   |                                       |                              |
| Water<br>Recycling<br>Funding<br>Program –<br>Facilities<br>Planning Grant<br>Program | Grant           | Planning of<br>Recylced Water.<br>Determine the<br>feasibility of using<br>recycled water to<br>offset the use of<br>fresh/potable<br>water from state<br>and/or local<br>supplies. | Only local public<br>agencies are eligible<br>to receive a facilities<br>planning grant.  | NA            | Preparation of a complete<br>facilities planning report<br>(stamped and signed by a<br>licensed California<br>engineer), including a<br>market assessment,<br>alternative analysis,<br>development of user<br>assurances and<br>preparation of a<br>construction financing<br>plan.                   | Pollution<br>control<br>studies, in<br>which water<br>recycling is<br>an alternative,<br>are not<br>eligible. | 50 percent of eligible costs<br>up to \$75,000.   | Continuous<br>Application<br>Process. | Dan Newton<br>(916) 324-8404 |
| Webpage:  | http://www.wate | erboards.ca.gov/wat   | er_issues/programs/g  | rants loa     | ns/water_recycling/index.sh   | ntml  |   |                                       |                              |
| State Water Res   | sources Contro  | l Board   |   |               |   |   |   |                                       |                              |
| Seawater<br>Intrusion<br>Control Loan<br>Program                                      | Financing       |   | City, county, district,<br>joint powers<br>authority, or other<br>political subdivision<br>of the state involved<br>in water<br>management. | CEQA          | Eligible projects may<br>include, but are not limited<br>to, water conservation,<br>freshwater well injection,<br>and substitution of<br>groundwater pumping<br>from local surface<br>supplies.<br>Construction of<br>distribution, storage,<br>pumping, injection wells<br>and treatment facilities. | O&M, legal<br>costs, land,<br>change<br>orders and<br>claims  | Eligible projects funded on a<br>first-come-first-served basis.<br>The date of the Division's<br>approval of a complete<br>application will determine the<br>order. | of the general obligation bond        | Dan Newton<br>(916) 324-8404 |
| Webpage:  | http://www.wate | erboards.ca.gov/wat   | er_issues/programs/g  | rants_loa     | Ins/swic.shtml  | I   |   | l                                     | l                            |

| Program                | Туре                               | Purpose   | Eligibility   | CEQA/ | Eligible Uses  | Ineligible | Funding Limits  | Terms/ | Contact                               |  |  |  |
|------------------------|------------------------------------|---|---|-------|--|------------|---|--------|---------------------------------------|--|--|--|
| -                      |                                    |   | Requirements  | NEPA  | -  | Uses       | -   | Dates  |                                       |  |  |  |
| <b>United States B</b> | nited States Bureau of Reclamation |   |   |       |  |            |   |        |                                       |  |  |  |
|                        | Cooperative<br>Agreements          | Provide Federal<br>funding for the<br>study or<br>construction of<br>projects that<br>reclaim and reuse<br>municipal,<br>industrial,<br>domestic or<br>agricultural<br>wastewater and<br>naturally impaired<br>ground or surface<br>waters. | No requirements for<br>studies. Project-<br>specific construction<br>authorization is<br>required to receive<br>Federal funds for<br>construction |       | Reclaimed water can be<br>used for a variety of<br>purposes such as<br>environmental restoration,<br>fish and wildlife,<br>groundwater recharge,<br>municipal, domestic,<br>industrial, agricultural,<br>power generation, or<br>recreation. | None       | The maximum Federal cost<br>share for studies is 50% and<br>for construction is the lesser<br>of \$20 million or 25% of the<br>total project costs. |        | Vanessa<br>Emerzian<br>(916) 978-5083 |  |  |  |
| Webpage:               | http://www.usbr                    | .gov/WaterSMART/  | title/  | -     | -  | •          | •   | •<br>• |                                       |  |  |  |

| Program                                       | Туре            | Purpose   | Eligibility<br>Requirements   | CEQA/<br>NEPA  | Eligible Uses  | Ineligible<br>Uses   | Funding Limits                                     | Terms/<br>Dates  | Contact                          |
|---|-----------------|---|---|----------------|--|--|--|--|----------------------------------|
| United States D                               | epartment of A  | griculture  | •   |                |  |  |  |  |                                  |
| Water and<br>Waste Disposal<br>program        | Loan/Grant      | grants to develop<br>and rehabilitate<br>community  | Public bodies,<br>Tribes, Nonprofits,<br>Cities, Towns and<br>census designated<br>places with<br>populations less<br>than 10,000 | CEQA /<br>NEPA | Funds may be used for<br>costs associated with<br>planning, design and<br>construction of new or<br>existing systems<br>Eligible projects include<br>storage, distribution and<br>source development   | Facilities not<br>modest in<br>size, design<br>and cost<br>For profit<br>systems | None, but average project<br>size is \$3-5 million |  | Pete Yribarren<br>(805) 260-0826 |
| Webpage:                                      | http://www.rurd | ev.usda.gov/ca  |   |                |  |  |  |  |                                  |
| United States D                               |                 |   |   |                |  |  |  |  |                                  |
| Water and<br>Waste Disposal<br>Colonias Grant | Grant           | Help especially<br>needy<br>communities<br>within 150 miles of<br>the Mexico<br>Border. Pay for all<br>or part of the costs<br>to provide safe<br>drinking water<br>facilities for the<br>residents |   | CEQA /<br>NEPA | Residents to be served<br>must face significant<br>health risks due to the fact<br>that a significant<br>proportion of the<br>community's residents do<br>not have access to, or are<br>not served by, adequate,<br>affordable water disposal<br>systems | and cost<br>For profit   | Average project grant \$1<br>million               | 100% grant,<br>subject to<br>availability<br>Continuous filing | Pete Yribarren<br>(805) 260-0826 |

| Program                     | Туре                                   | Purpose        | Eligibility  | CEQA/          | Eligible Uses   | Ineligible  | Funding Limits | Terms/   | Contact                          |  |  |
|-----------------------------|--|----------------|--------------|----------------|---|---|----------------|--|----------------------------------|--|--|
|                             |  |                | Requirements | NEPA           |   | Uses  |                | Dates  |                                  |  |  |
| United States D             | nited States Department of Agriculture |                |              |                |   |   |                |  |                                  |  |  |
| Water and<br>Waste Disposal | guarantees<br>loans made by<br>banks   | security for   |              | CEQA /<br>NEPA | Funds may be for costs<br>associated with<br>Planning, design, and<br>construction of new or<br>existing systems<br>Eligible projects include<br>water, storage,<br>distribution, and source<br>development | Facilities not<br>modest in<br>size, design<br>and cost<br>Privately<br>owned<br>infrastructure | None           | Negotiated<br>between<br>business and<br>lender<br>Fixed and<br>variable rates<br>allowed<br>Continuous filing | Pete Yribarren<br>(805) 260-0826 |  |  |
| Webpage:                    | http://www.rurde                       | ev.usda.gov/ca | than 10,000  |                |   |   |                |  |                                  |  |  |